

# Making Every E-Vote Count

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*October 2008*

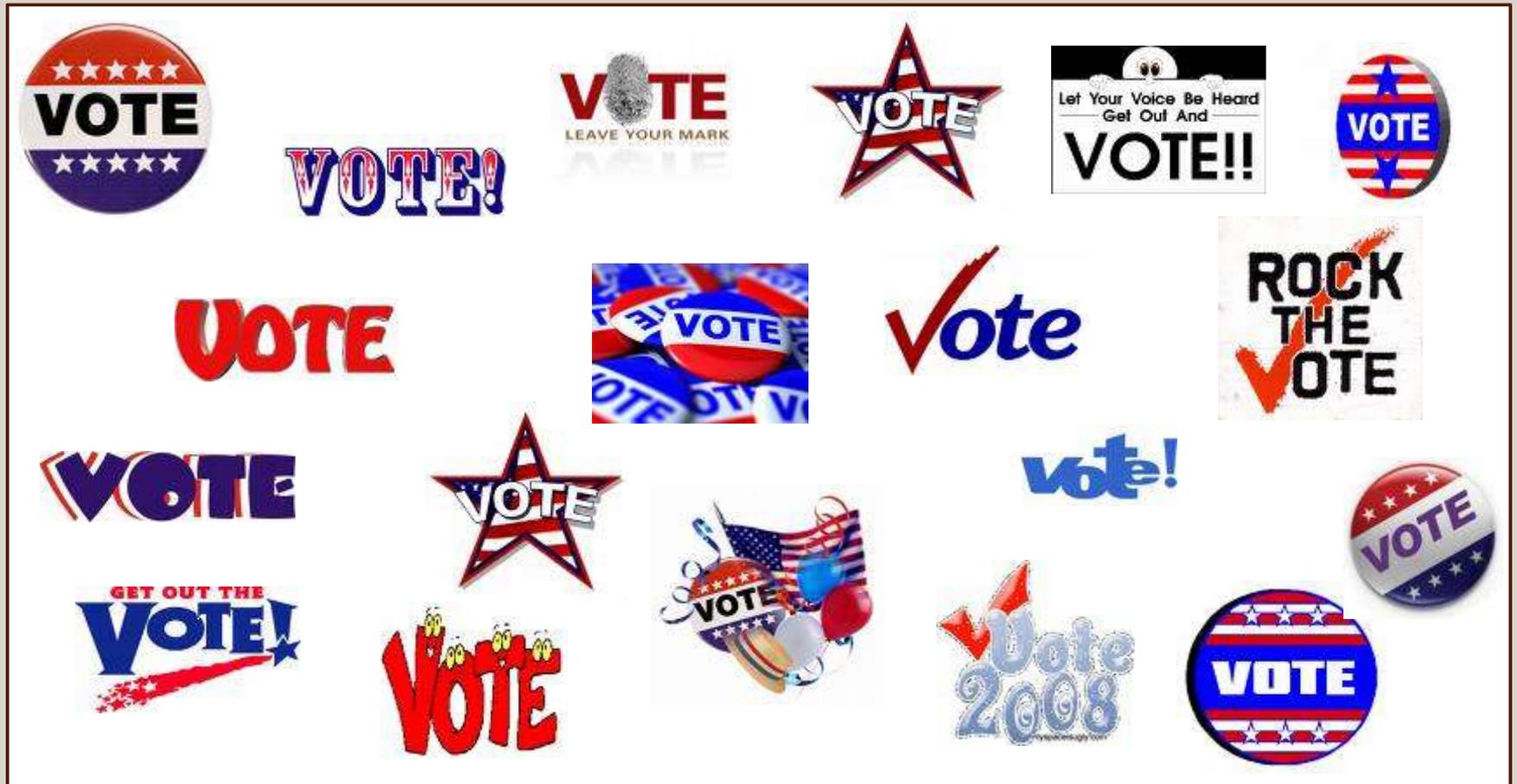
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`http://www.cse.lehigh.edu/~lopresti`

# First word



# E-voting in the news

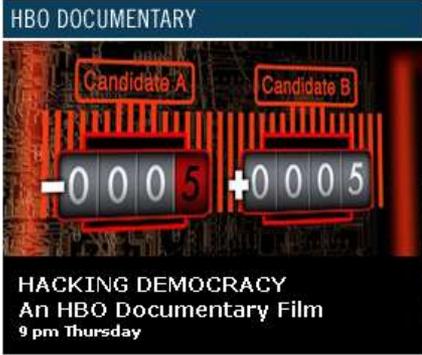
Security Analysis of the Diebold AccuVote-TS Voting Machine

## Electronic Voting Systems: the Good, the Bad, and the Stupid

**SECURITY ALERT: July 4, 2005**

**Critical Security Issues with Diebold Optical Scan Design**

Security Assessment of the Diebold Optical Scan Voting Terminal



HBO DOCUMENTARY

Candidate A Candidate B

-0005 +0005

**HACKING DEMOCRACY**  
An HBO Documentary Film  
9 pm Thursday

"The bottom line is if we don't have the ability to authenticate our own elections as citizens, we don't live in a democracy."

HBO Documentary Films presents [Hacking Democracy](#), Thursday at 9 pm.

VIDEO ▶ [Preview Hacking Democracy](#)

## Pennsylvania voters: trust but verify

Poll finds most want ballot verification

## Electronic Voting System Usability Issues

THE MACHINERY OF DEMOCRACY:

PROTECTING ELECTIONS

IN AN ELECTRONIC WORLD

## Hack-a-Vote: Security Issues with Electronic Voting Systems

### Analysis of an Electronic Voting System

### Privacy Issues in an Electronic Voting Machine

**SECURITY ALERT: May 11, 2006**

**Critical Security Issues with Diebold TSx**

Trusted Agent Report  
Diebold AccuVote-TS Voting System

# Why are we interested?

## Motivation:

- Fair and accurate elections are vital for a healthy democracy.
- Any voting system carries with it some risk. Past experience with paper ballots, lever machines, etc., has let us understand that risk.
- Electronic voting systems introduce whole new classes of risks.

## Some questions we attempt to answer in our work:

- What are the risks associated with e-voting technologies?
- How can these risks best be mitigated?
- Can the current certification process identify bad e-voting systems?
- If not, what would be an effective certification procedure?

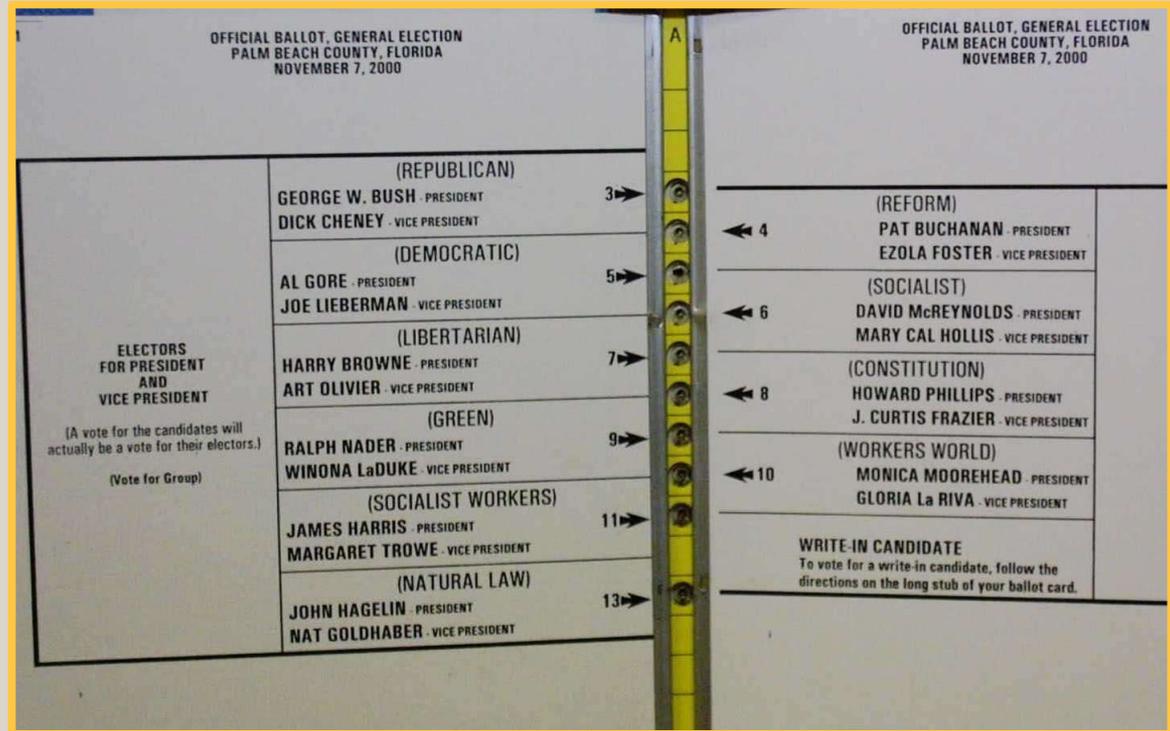
# Main take-away points

- E-voting systems are nothing more than general-purpose computers running specialized voting software.
- Same concerns arise as in any complex software/hardware system.
- Current certification process provides little or no assurance: it is incapable of identifying many critical vulnerabilities.
- Other states have banned e-voting systems still in use in PA.
- We can – and should – do better.

Despite these concerns (or perhaps because of them) everyone should still actively participate in the democratic process. Vote!

# How did we get here?

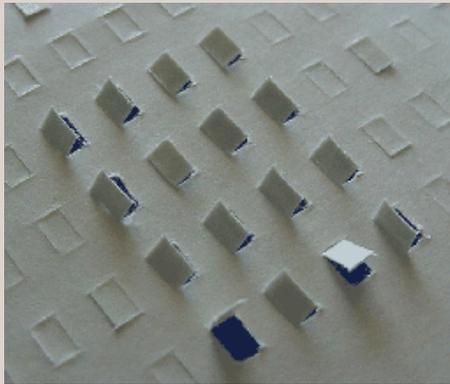
The infamous butterfly ballot from the 2000 Presidential election:



The Florida ballot is a classic example of bad user interface design. Computer software can suffer from such problems just as easily.

[http://www2.indystar.com/library/factfiles/gov/politics/election2000/img/prezrace/butterfly\\_large.jpg](http://www2.indystar.com/library/factfiles/gov/politics/election2000/img/prezrace/butterfly_large.jpg)

# Hanging chads & voter intent



Votomatic technology used in Florida was prone to paper jams. This led to hanging and dimpled chads, making it hard to determine voter intent.

<http://www.cs.uiowa.edu/~jones/cards/chad.html>

<http://www.pushback.com/justice/votefraud/DimpledChadPictures.html>

# Election technology & HAVA

The Help America Vote Act (HAVA) provides funds for states to replace punched card and lever voting systems. It does not mandate the use of direct recording electronic (DRE) systems.

Some general goals to keep in mind as we weigh alternatives:

- secure and transparent elections,
- accurate determination of voter intent,
- voter anonymity,
- accessibility for disabled voters and non-native English voters,
- if possible, prevent overvoting (invalidates voter's ballot),
- if possible, prevent unintentional undervoting (voter confusion?).

[http://www.fec.gov/hava/law\\_ext.txt](http://www.fec.gov/hava/law_ext.txt)

# E-voting Risks

While there are a number of DRE vendors, one truth holds: all computer hardware/software systems of this complexity have bugs.

Bugs can manifest themselves in different ways:

- cause system to be unreliable (crash, lose votes),
- create openings that allow an outsider to compromise election,
- create openings that allow an inside to compromise election.

Such attacks can be impossible to detect after-the-fact.

# Diebold security

Diebold Election Systems provides secure, accurate and proven voting solutions to jurisdictions worldwide



*What we mostly worry about*

*May or may not be safe*

*What we mostly worry about*

(But insider attacks can arise anywhere.)

<http://www.diebold.com/dieboldes/pdf/industrysecurity.pdf>

# Risk analysis of e-voting software

- Avi Rubin and colleagues at Johns Hopkins obtained copy of Diebold e-voting software which appeared on the Internet.\*
- Studied it carefully – made results public in 2003.
- Findings include:
  - “... far below even the most minimal security standards ...”
  - “... unauthorized privilege escalation, incorrect use of cryptography, vulnerabilities to network threats, ...”
  - “... voters ... can cast unlimited votes without being detected ...”

\* E-voting vendors often assert they must be allowed to keep their software secret to protect it. This proves the futility of that idea.

"Analysis of an Electronic Voting System," Tadayoshi Kohno, Adam Stubblefield, Aviel D. Rubin, and Dan S. Wallach, *IEEE Symposium on Security and Privacy*, 2004.

# Risk analysis of e-voting software

## Summary of potential vulnerabilities identified by Rubin, et al.

	Voter (with forged smartcard)	Poll Worker (with access to storage media)	Poll Worker (with access to network traffic)	Internet Provider (with access to network traffic)	OS Developer	Voting Device Developer	Section
Vote multiple times using forged smartcard	•	•	•				3.2
Access administrative functions or close polling station	•	•			•	•	3.3
Modify system configuration		•			•	•	4.1
Modify ballot definition (e.g., party affiliation)		•	•	•	•	•	4.2
Cause votes to be miscounted by tampering with configuration		•	•	•	•	•	4.2
Impersonate legitimate voting machine to tallying authority		•	•	•	•	•	4.3
Create, delete, and modify votes		•	•	•	•	•	4.3, 4.5
Link voters with their votes		•	•	•	•	•	4.5
Tamper with audit logs		•			•	•	4.6
Delay the start of an election		•	•	•	•	•	4.7
Insert backdoors into code					•	•	5.3

"Analysis of an Electronic Voting System," Tadayoshi Kohno, Adam Stubblefield, Aviel D. Rubin, and Dan S. Wallach, *IEEE Symposium on Security and Privacy*, 2004.

# One potential exploit



Attempt is made to protect integrity of voting records by encrypting them before storage on PCMCIA memory card ...



Okay!



No way!

... unfortunately, the key is hardwired in the code and now widely known across Internet (it's "F2654hD4").



Okay!

"Analysis of an Electronic Voting System," Tadayoshi Kohno, Adam Stubblefield, Aviel D. Rubin, and Dan S. Wallach, *IEEE Symposium on Security and Privacy*, 2004.

# Some lessons never learned

Another paper, several years later, notes:

“There is a serious flaw in the key management of the crypto code that otherwise should protect the AV-TSx from memory card attacks. Unless election officials avail themselves of the option to create new cryptographic keys, the AV-TSx uses a default key. This key is hard coded into the source code for the AV-TSx, which is poor security practice because, among other things, it means the same key is used in every such machine in the U.S. Worse, the particular default key in question was openly published two and a half years ago in a famous research paper, and is now known by anyone who follows election security, and can be found through Google.”

"Security Analysis of the Diebold AccuBasic Interpreter" by David Wagner, David Jefferson, Matt Bishop, Chris Karlof, and Naveen Sastry, February 14, 2006.

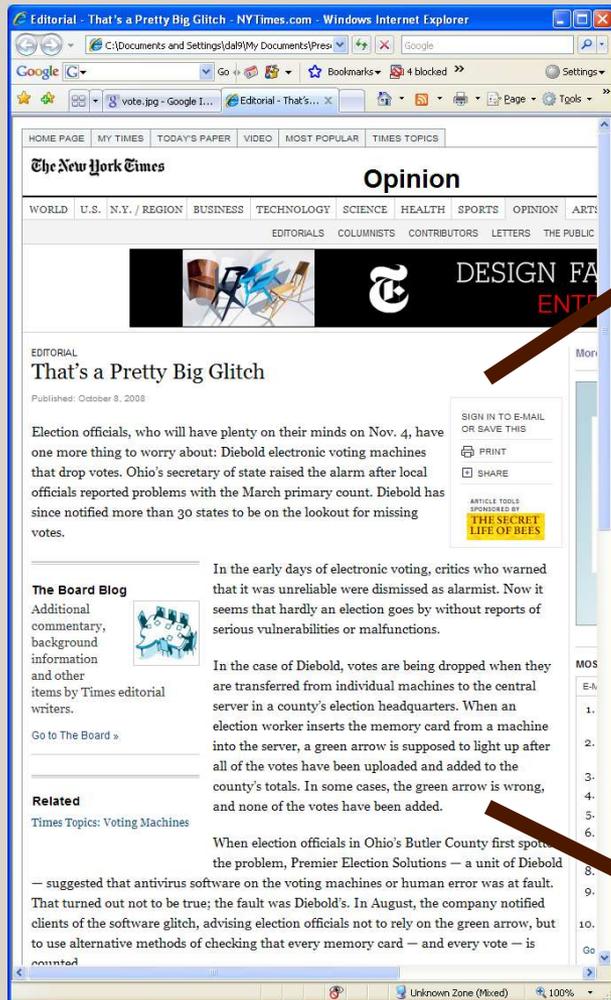
# Later risk analyses

- In May 2006, Finnish security expert Harri Hursti exposed a serious flaw in the Diebold AccuVote TSx touchscreen system.
- This flaw allows system to be permanently reprogrammed in a matter of a few minutes. No special hardware is required.
- Later, a team of Princeton researchers announced they had implemented Hursti's attack and proved that it works. They used an older Diebold system given by an anonymous donor.
- The Princeton team also implemented a virus form of the attack that spreads from one infected machine to others via memory card.
- Case opened using several methods, including picking the lock.

"Diebold TSx Evaluation: Critical Security Issues with Diebold TSx," by Harri Hursti, May 11, 2006.

"Security Analysis of the Diebold AccuVote-TS Voting Machine" by Ariel J. Feldman, J. Alex Halderman, and Edward W. Felten, September 13, 2006.

# Our problems are far from over



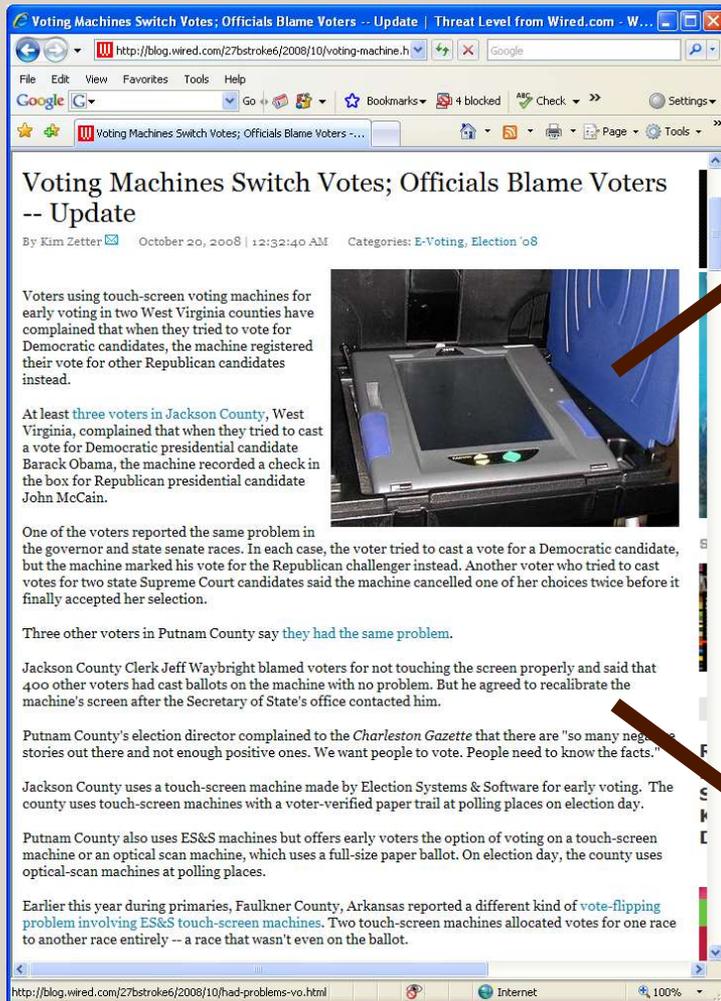
New York Times, October 8, 2008

“Election officials, who will have plenty on their minds on Nov. 4, have one more thing to worry about: Diebold electronic voting machines that drop votes.

...

In the case of Diebold, votes are being dropped when they are transferred from individual machines to the central server in a county's election headquarters. When an election worker inserts the memory card from a machine into the server, a green arrow is supposed to light up after all of the votes have been uploaded and added to the county's totals. In some cases, the green arrow is wrong, and none of the votes have been added.”

# And a couple days ago ...



## Wired Blog, October 20, 2008

“Voters using touch-screen voting machines for early voting in two West Virginia counties have complained that when they tried to vote for Democratic candidates, the machine registered their vote for other Republican candidates instead.

...

Jackson County Clerk Jeff Waybright blamed voters for not touching the screen properly and said that 400 other voters had cast ballots on the machine with no problem. But he agreed to recalibrate the machine's screen after the Secretary of State's office contacted him.”

# Misrepresentation #1

“E-voting machines are not computers.”

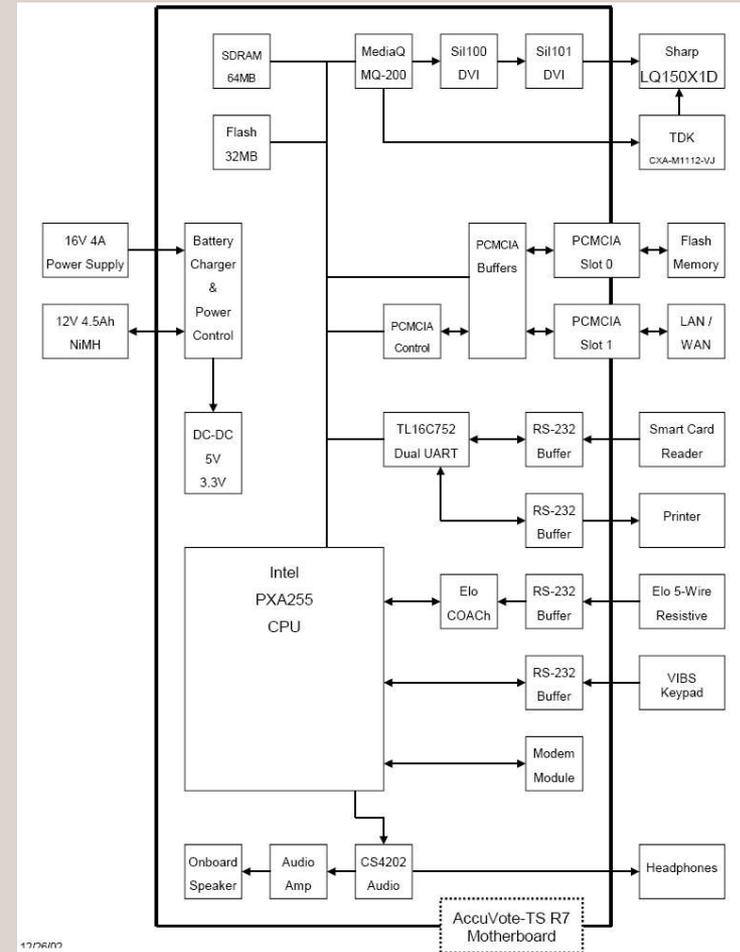
# Diebold AccuVote System

Demo in Allentown:



Diebold AccuVote-  
TSx block diagram:

DRE systems are nothing more  
than specialized computers.



<http://www.wfmz.com/cgi-bin/tt.cgi?action=viewstory&storyid=13711>

[http://www.bbvforums.org/forums/messages/1954/AccuVote-TSx\\_2\\_02\\_System\\_Overview-23267.pdf](http://www.bbvforums.org/forums/messages/1954/AccuVote-TSx_2_02_System_Overview-23267.pdf)

# More photos from Diebold demo



*Paper tape  
(used for end-of-day tally)*



*Built-in  
printer*



*PCMCIA slot*



*PCMCIA card*

# E-voting Machines We Own

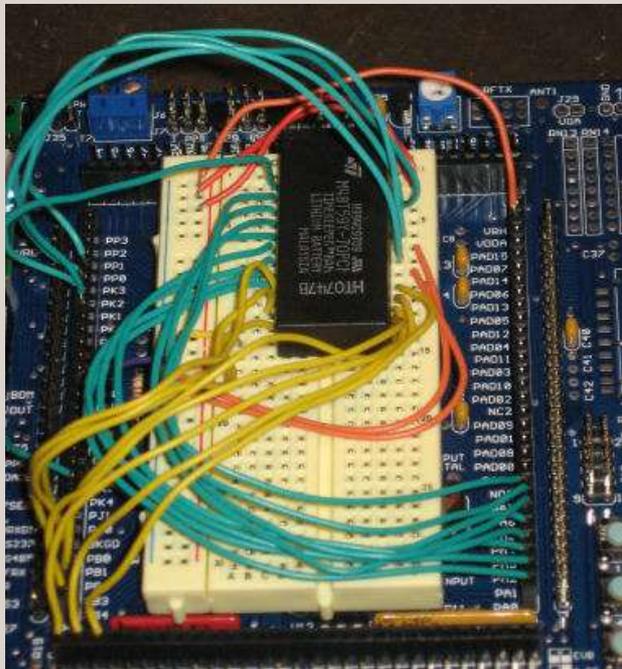
Danaher / Shouptronic 1242  
(Bucks County)



Sequoia Advantage  
(Northampton County)



# E-voting Machines We Own



*Circuit built by Lehigh  
undergrad to read EPROM  
(Danaher firmware)*

Digi-Key Part Number	AT27C256R-45PI-ND	Price	Unit Price	Extended Price
Quantity Available	1,132	1	2.96000	2.96
Manufacturer	Atmel	25	2.47000	61.75
Manufacturer Part Number	AT27C256R-45PI	100	2.12000	212.00
Description	IC EPROM 256K OTP 28DIP			
Lead Free Status / RoHS Status	Contains lead / RoHS non-compliant			

Quantity:

*Replacement EPROM  
cost is less than \$3.00*

**Epm Programmer**  
Compact EPROM programmer at any affordable price. Can program most 27 series EPROM's including:  
2716, 27C16, 2732, 27C32, 2764, 27C64, 27128, 27C128, 27256, 27C256, 27512, 27C512, 27C010, 27C1001, 27C020, 27C040 and many more.  
Connects to standard computer printer port and includes connection cable. Easy to use software and manual is also included. Works from a 10V power source or plugpack, (not included). Programming voltage is adjustable by software and has three settings, 12.5V, 21V and 25V.  
Please note: Works with Windows 95 and 98, power supply not included.

**Features**

- Programs nearly all 27 series EPROM's
- Small and Lightweight Unit
- Easy to Use Software
- Can read, verify and program contents of EPROM
- Adjustable Programming Voltage

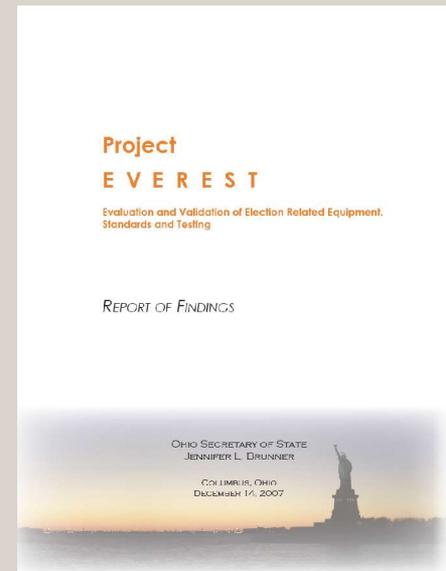
Order Now for USD\$79.00

*EPROM programmer  
is \$79.00*

# Misrepresentation #2

“E-voting machines have been tested by federal and state authorities, so they must be safe.”

# CA and OH Toss Out DRE's



<http://www.sos.state.oh.us/sos/info/everest.aspx>

All of these machines were previously certified at the federal and state level. Some are still in use in PA counties.

<http://www.sos.state.oh.us/sos/info/everest.aspx>

# Misrepresentation #3

“Computer security researchers are alarmists. They ignore the physical security designed to protect these systems.”

# Physical security is questionable



Photos taken by Princeton Professor Ed Felten at four different polling places on the days preceding the June 3, 2008 presidential primary in NJ.

<http://citp.princeton.edu/voting/advantage/>

# Misrepresentation #4

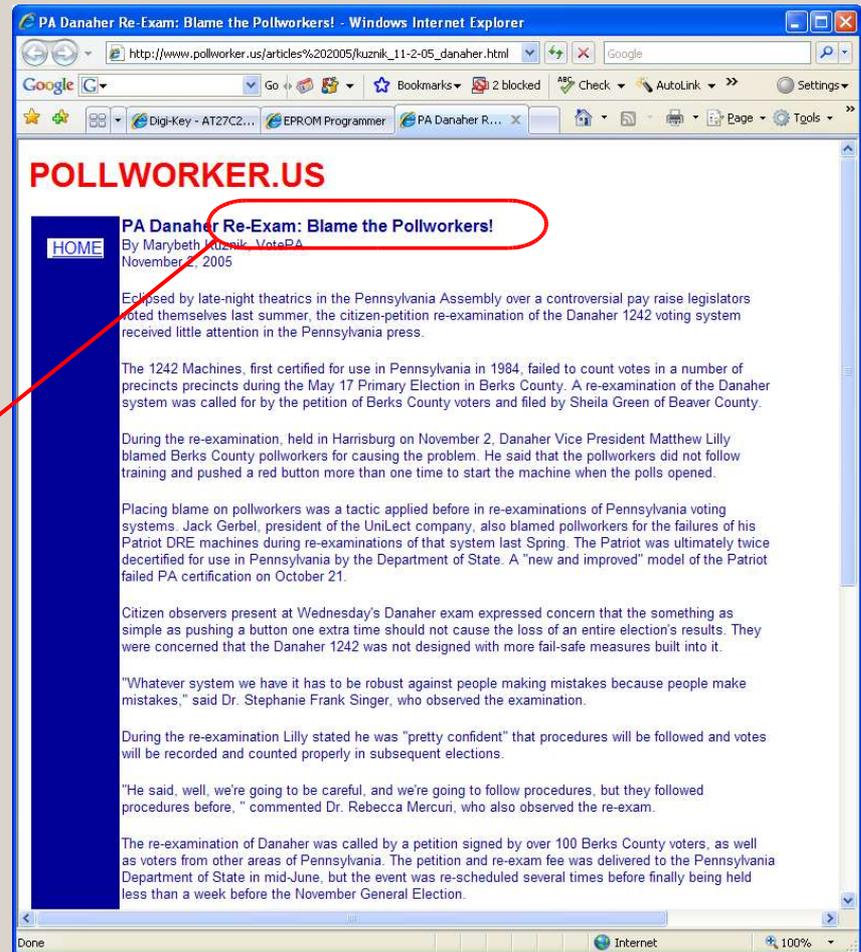
“E-voting machines have never malfunctioned or lost votes in a real election.”

# Case of the Danaher 1242

Nearly 200 votes are lost through a combination of vendor and pollworker mistakes in May 2005 primary in Berks County.

Blame the pollworkers???

In reality, it was a combination of two errors: the main error was made by Danaher (the vendor). Pollworkers' mistake was secondary.



[http://www.pollworker.us/articles%202005/kuznik\\_11-2-05\\_danaher.html](http://www.pollworker.us/articles%202005/kuznik_11-2-05_danaher.html)

# Case of the Sequoia Advantage

**BLOGGED BY JOHN GIDEON ON 2/20/2008 2:45PM**

## SEQUOIA E-VOTING MACHINES REPORTING INACCURATE TOTALS IN NEW JERSEY

POST-SUPER TUESDAY CANYASS REVEALS DISCREPANCIES BETWEEN INTERNAL PRINTOUTS AND MEMORY CARTRIDGES ON DRE SYSTEMS IN FIVE SEPARATE COUNTIES

SAME 'TAMPERPROOF' MACHINES RECENTLY HACKED BY PRINCETON UNIVERSITY PROFESSOR, ALSO FAILED TO START UP PROPERLY ON ELECTION DAY...

Guest Blogged by John Gideon of [VotersUnite.Org](#)

The *Newark Star-Ledger* is reporting that New Jersey election officials have found a discrepancy in the state's Primary Election results as reported on the Direct Recording Electronic (DRE) voting machines used on Super Tuesday. Voter totals reported by the internal paper tapes on their Sequoia AVC Advantage DRE in a number of counties are failing to match up with totals found on the memory cartridges, used for both ballot definition and results storage, on the same machines, according to the report today...

As Union County Clerk Joanne Rajoppi tried to verify returns in this month's historic presidential primary, she kept coming up with errors for a handful of voting machines.

The numbers from the cartridges that print out vote tallies and the paper-tape backup within the machine didn't match. Rajoppi asked her colleagues in other counties to perform the same test, and similar problems were found in voting machines for Bergen, Gloucester, Middlesex and Ocean counties.

Problems with Sequoia's AVC Advantage systems also emerged early on the morning of Super Tuesday, forcing a 45 minute delay for the state's Governor, who was unable to cast his vote when the machines failed to boot up on Election Day. In February of last year, the same machines were hacked by a Princeton University Professor after he'd been able to purchase a number of the \$8000 systems for just \$86 apiece on the Internet.

The *Star-Ledger* today goes on to report some of the details on the latest failures now emerging in New Jersey during the state's post-election canvassing...

**ADVANTAGE: HACKERS**  
Sequoia's 'tamperproof' AVC Advantage systems, previously hacked at Princeton, now failing in NJ's post-Super Tuesday canvass.

[http://www.pollworker.us/articles%202005/kuznik\\_11-2-05\\_danaher.html](http://www.pollworker.us/articles%202005/kuznik_11-2-05_danaher.html)

## ChannelWeb

### New Jersey Clerks Want Sequoia E-Voting Investigated

By Jennifer Bosavage, ChannelWeb  
6:03 PM EDT Mon, Mar. 24, 2008

Reports of e-voting discrepancies revolving around a state primary election are causing a dust-up between the solution provider, Sequoia Voting Systems, and the State of New Jersey.

The records from the voting machines -- tapes similar to cash register tapes -- indicate that the number of ballots cast does not agree with the machines' printouts.

Last week, the New Jersey association of county clerks called on New Jersey's Attorney General to investigate possible discrepancies in e-voting machines used in February's presidential primary election. The clerks in six counties reported discrepancies in the tallies generated by some 60 Sequoia devices during the Feb. 5 election, according to the Constitutional Officers Association of New Jersey. Sequoia maintains the discrepancies were the result of human error.

However, Sequoia informed the county clerks that such an independent analysis would violate the licensing agreement between the provider of voting machines and software, and the county. The company's position is that the voting machine software is a trade secret and cannot be handed over to any third party. Union County had planned to have an independent study of the machines conducted by Edward Felten, a professor of computer science and public affairs at Princeton University. The threat of legal action has resulted in the third-party investigation being dropped.

On his blog, Felten has photos of the voting machine records, and notes that the vendor's explanation is insufficient.

"The bottom line is clear. An investigation is needed -- an independent investigation, done by someone not chosen by Sequoia, not paid by Sequoia, and not reporting to Sequoia," Felton wrote.

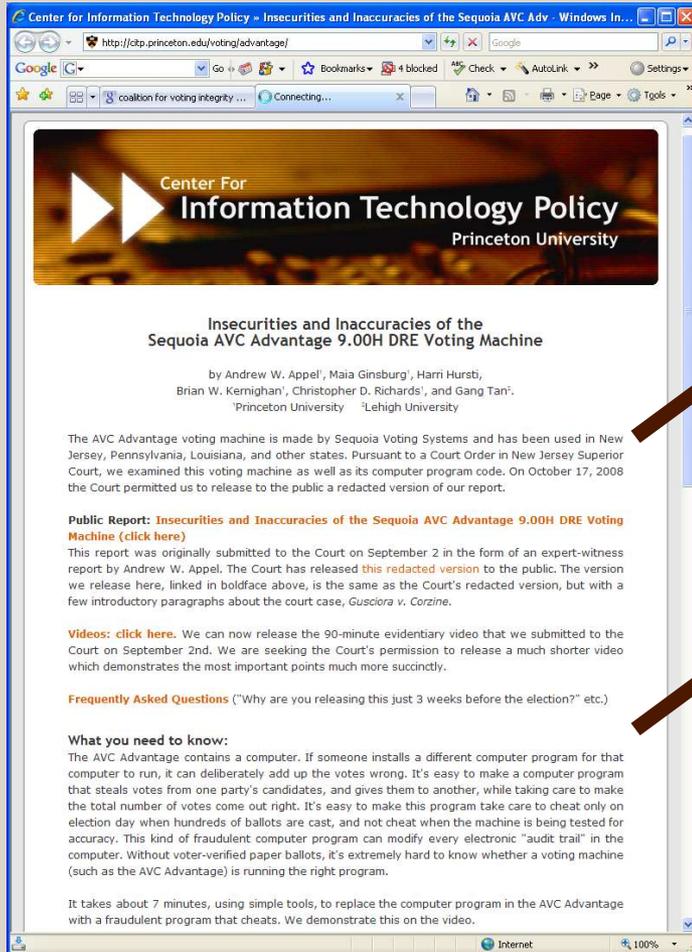
Sequoia said in a statement that it has commissioned an independent source

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Tough Customers, Rugged Market: The Booming Appeal Of Durability - GovernmentVAR  
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>> Don't let your business be left behind on over \$1 billion in government IT spending in 2008! - Avr Technology Solutions  
>> Help your business today! Look into service by LGS, formerly of Lu and Alcatel Government Solutions Business - Alcatel-Lucent  
>> More Related Links

<http://www.crn.com/government/206905445>

# Case of the Sequoia Advantage



Extensive analysis performed by team of researchers from Princeton.

“What Sequoia leaves out is that this programming error disenfranchised voters, by denying them the ability to vote in their own party’s primary.”

Gang Tan, a professor who recently joined our department, participated in the study last summer.



<http://citp.princeton.edu/voting/advantage/>

# Who supports the use of DRE's?

Michael Shamos, Ph.D., J.D., is a Professor at Carnegie Mellon. He has extensive experience with electronic voting and is the primary independent expert responsible for certifying voting machines in Pennsylvania and other states.



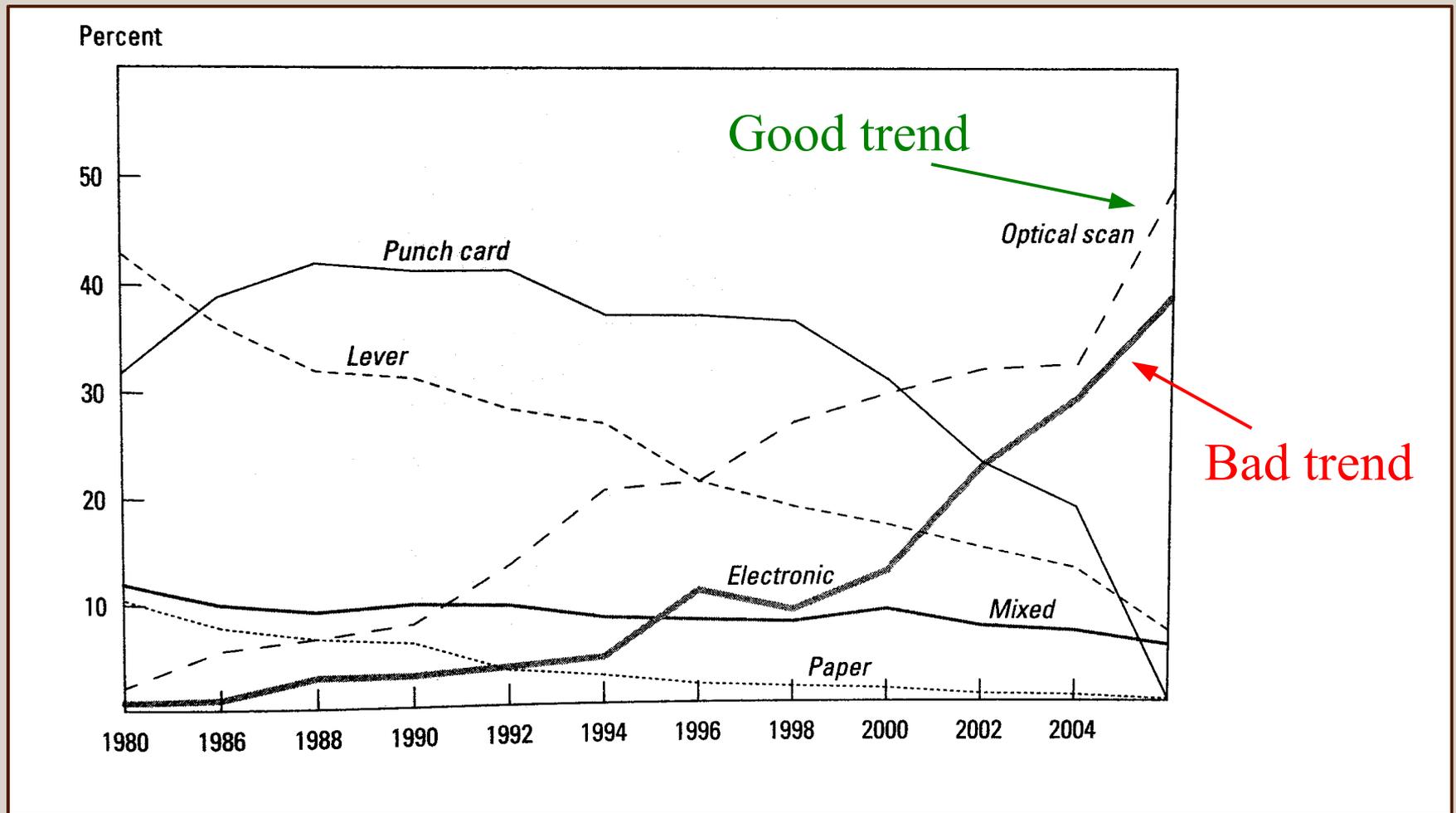
In a 2007 article for the National Academy of Engineering, he writes:

“Voting machines are among the least reliable devices on this planet.”

???

"Voting as an Engineering Problem," Michael Shamos, The Bridge (National Academy of Engineering), vol. 37, no. 2, 2007.  
<http://www.nae.edu/nae/bridgecom.nsf/weblinks/MKEZ-744MD8>

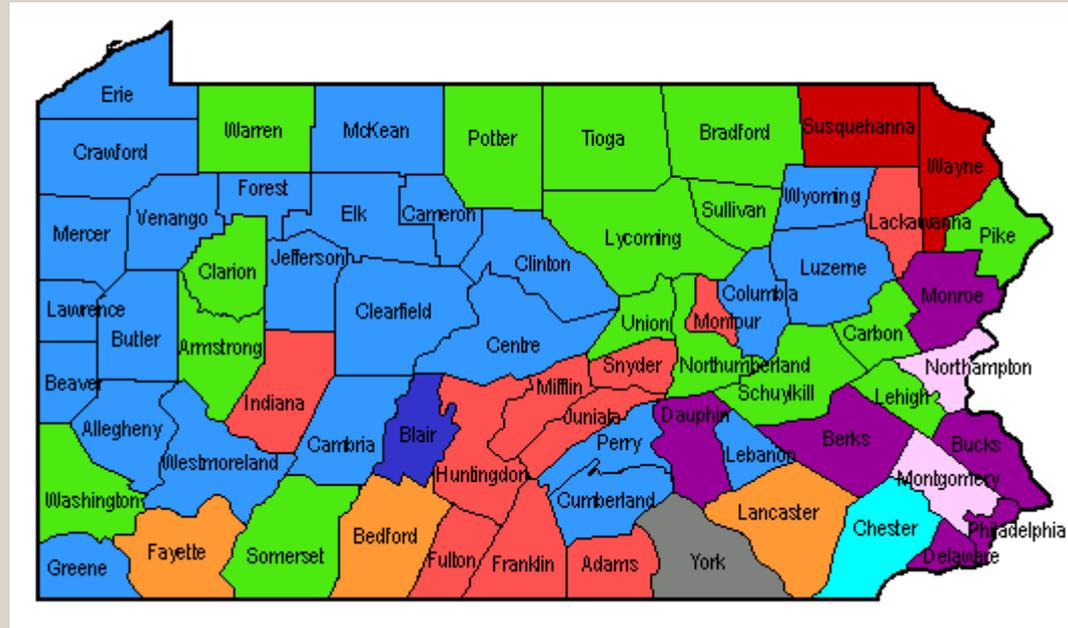
# Voting system use in the U.S.



From *Voting Technology: The Not-So-Simple Act of Casting a Ballot*, by Paul S. Herrnson, et al, Brookings Institution Press, 2008.

# E-Voting in Pennsylvania

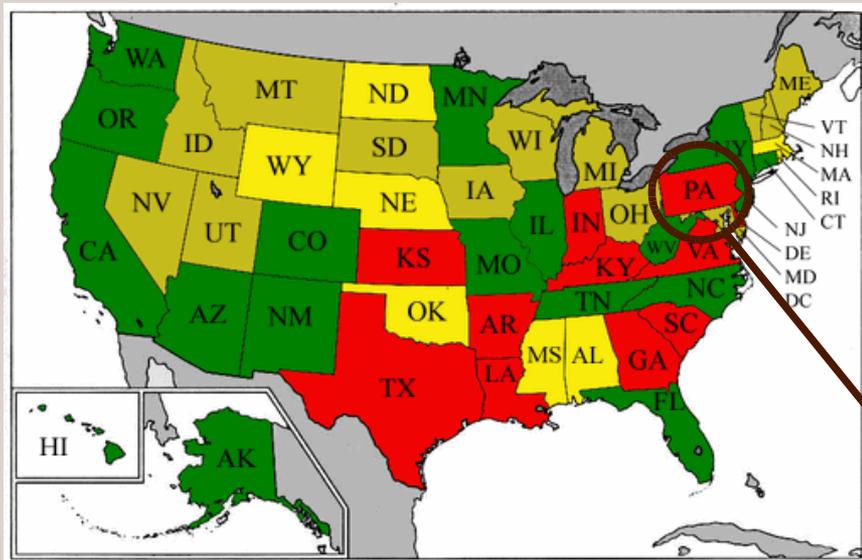
*AVS, once used in Northampton County, was decertified*



<http://www.dos.state.pa.us/voting/cwp/view.asp?a=1218&Q=446365>

# Voter-Verified Paper Records

- A key recommendation from many security experts is the use of Voter-Verified Paper Records (VVPR).
- As of today, this is only way to guarantee an independent recount.



- VVPR + manual audits required (13)
- VVPR required; No audit requirement (14)
- VVPR not required but in use statewide; No audit requirement (8)
- No VVPR requirement; No audit requirement (15)

Pennsylvania

From <http://www.verifiedvoting.org/> 10/23/08

# Attempts to fix this in the courts

Banfield v. Cortes, 922 A.2d 36 (Pa. Commw. Ct., 2007), filed August 2006. At issue: whether Pennsylvania Secretary of State properly certified electronic voting equipment used in state.

There are two points of contention in particular.

Pennsylvania Election Code, 25 P.S. § 3031 states:

“Electronic voting system” means a system in which one or more voting devices are used to permit the registering or recording of votes and in which such votes are computed and tabulated by automatic tabulating equipment. The system shall provide for a permanent physical record of each vote cast.”

# What constitutes a “physical record”?

As an expert witness in this case, I argue that:

“... none of the DREs certified in Pennsylvania is capable of retaining a “permanent physical record of each vote cast” as required by the Pennsylvania Election Code.

... these systems maintain what is best described as an “electronic record” of the activity that occurs on the machine. The accuracy or permanence of data stored electronically cannot be guaranteed due to the inherent characteristics of electronic computer memory.”

Note: Michael Shamos is the lead technical expert for the state. Banfield v. Cortes is currently on hold in the PA Supreme Court.

# Another point of contention

## 25 P. S. § 3031.17. Statistical sample

The county board of elections, as part of the computation and canvass of returns, shall conduct a statistical recount of a random sample of ballots after each election using manual, mechanical or electronic devices of a type different than those used for the specific election. The sample shall include at least two (2) per centum of the votes cast or two thousand (2,000) votes whichever is the lesser.

Does simply printing out the contents of computer memory onto paper constitute a recount “of a type different” than the original tally produced by the same machine electronically?

# PERFECT Project

NSF-funded research project centered here at Lehigh:

- Lehigh: Ziad Munson (Sociology) and Dan Lopresti (Computer Science & Engineering).
- Muhlenberg: Chris Borick (Political Science)
- RPI: George Nagy (Electrical, Computer & Systems Engineering)
- Boise State: Elisa Barney Smith (Electrical & Computer Engineering)



PERFECT stands for “Paper and Electronic Records for Elections: Cultivating Trust”

# Research questions

Issues that arise from using paper ballots in elections:

- Accurate interpretation of marginal markings.
- Human cost, error rate, and bias in performing manual recounts.
- Failure modes in ballot imaging (e.g., paper jams).
- Systematic errors due to ballot layout (one candidate may be disadvantaged over another based on physical location on page).

Also keep in mind:

- U.S. Elections can be complex (10's to 100's of choices).
- Impact of “voter error” (e.g., improper markings, erasures).
- Potential for traditional ballot-box stuffing.
- Computer hackers attempting to manipulate the vote.

# Counting votes is not so easy

OFFICIAL BALLOT

**STATE GENERAL ELECTION BALLOT**

Judge \_\_\_\_\_  
Judge \_\_\_\_\_

COUNTY NAME  
NOVEMBER 7, 2006

**INSTRUCTIONS TO VOTERS**  
To vote, completely fill in the oval(s) next to your choice(s) like this:

FEDERAL OFFICES	STATE OFFICES	COUNTY OFFICES
<b>UNITED STATES SENATOR</b> VOTE FOR ONE	<b>SECRETARY OF STATE</b> VOTE FOR ONE	<b>COUNTY TROOPMASTER</b> VOTE FOR ONE
<input type="radio"/> CANDIDATE INDEPENDENCE	<input type="radio"/> CANDIDATE INDEPENDENCE	<input type="radio"/> CANDIDATE
<input checked="" type="radio"/> CANDIDATE REPUBLICAN	<input type="radio"/> CANDIDATE REPUBLICAN	<input checked="" type="radio"/> CANDIDATE
<input type="radio"/> CANDIDATE DEMOCRATIC/FARMER-LABOR	<input type="radio"/> CANDIDATE DEMOCRATIC/FARMER-LABOR	<input type="radio"/> CANDIDATE
<input type="radio"/> CANDIDATE Party of Principle	<input type="radio"/> CANDIDATE	<b>COUNTY TREASURER</b> VOTE FOR ONE
<input type="radio"/> CANDIDATE	<b>STATE AUDITOR</b> VOTE FOR ONE	<input type="radio"/> CANDIDATE
<b>UNITED STATES REPRESENTATIVE</b> DISTRICT (NUMBER) VOTE FOR ONE	<input type="radio"/> CANDIDATE INDEPENDENCE	<input checked="" type="radio"/> CANDIDATE
<input checked="" type="radio"/> CANDIDATE INDEPENDENCE	<input checked="" type="radio"/> CANDIDATE REPUBLICAN	<b>COUNTY RECORDER</b> VOTE FOR ONE
<input type="radio"/> CANDIDATE REPUBLICAN	<input type="radio"/> CANDIDATE DEMOCRATIC/FARMER-LABOR	<input checked="" type="radio"/> CANDIDATE
<input type="radio"/> CANDIDATE DEMOCRATIC/FARMER-LABOR	<input type="radio"/> CANDIDATE	<input type="radio"/> CANDIDATE
<input type="radio"/> CANDIDATE	<b>ATTORNEY GENERAL</b> VOTE FOR ONE	<input type="radio"/> CANDIDATE
<b>STATE OFFICES</b>	<input type="radio"/> CANDIDATE INDEPENDENCE	<b>COUNTY SHERIFF</b> VOTE FOR ONE
<b>STATE SENATOR</b> DISTRICT (NUMBER) VOTE FOR ONE	<input type="radio"/> CANDIDATE REPUBLICAN	<input type="radio"/> CANDIDATE
<input checked="" type="radio"/> CANDIDATE INDEPENDENCE	<input checked="" type="radio"/> CANDIDATE DEMOCRATIC/FARMER-LABOR	<input type="radio"/> CANDIDATE
<input type="radio"/> CANDIDATE REPUBLICAN	<input type="radio"/> CANDIDATE	<b>COUNTY ATTORNEY</b> VOTE FOR ONE
<input type="radio"/> CANDIDATE DEMOCRATIC/FARMER-LABOR	<b>CONSTITUTIONAL AMENDMENT</b>	<input type="radio"/> CANDIDATE
<input type="radio"/> CANDIDATE	For/Not to vote on a constitutional amendment, will have the same effect as voting on for or against it.	<input type="radio"/> CANDIDATE
<b>STATE REPRESENTATIVE</b> DISTRICT (NUMBER) VOTE FOR ONE	To vote for a proposed constitutional amendment, completely fill in the oval next to the word "YES" for that question. To vote against a proposed constitutional amendment, completely fill in the oval next to the word "NO" for that question.	<b>COUNTY SURVEYOR</b> VOTE FOR ONE
<input checked="" type="radio"/> CANDIDATE INDEPENDENCE	<b>CONSTITUTIONAL AMENDMENT TITLE</b>	<input type="radio"/> CANDIDATE
<input type="radio"/> CANDIDATE REPUBLICAN	(Body of question is placed in space on reverse side of ballot)	<input type="radio"/> CANDIDATE
<input type="radio"/> CANDIDATE DEMOCRATIC/FARMER-LABOR	YES	<input checked="" type="radio"/> CANDIDATE
<input type="radio"/> CANDIDATE	NO	<input type="radio"/> CANDIDATE
<b>GOVERNOR AND LIEUTENANT GOVERNOR</b> VOTE FOR ONE TEAM	<b>COUNTY OFFICES</b>	<b>CITY OFFICES</b> [CITY NAME OPTIONAL] VOTE FOR UP TO TWO
<input type="radio"/> CANDIDATE AND CANDIDATE INDEPENDENCE	<input type="radio"/> CANDIDATE	<input type="radio"/> CANDIDATE
<input type="radio"/> CANDIDATE AND CANDIDATE REPUBLICAN	<b>COUNTY COMMISSIONER</b> DISTRICT (NUMBER) VOTE FOR ONE	<input checked="" type="radio"/> CANDIDATE
<input type="radio"/> CANDIDATE AND CANDIDATE DEMOCRATIC/FARMER-LABOR	<input checked="" type="radio"/> CANDIDATE	<input type="radio"/> CANDIDATE
<input type="radio"/> CANDIDATE	<input type="radio"/> CANDIDATE	<input type="radio"/> CANDIDATE

VOTE FRONT AND BACK OF BALLOT

**INSTRUCTIONS TO VOTERS**  
To vote, completely fill in the oval(s) next to your choice(s) like this:



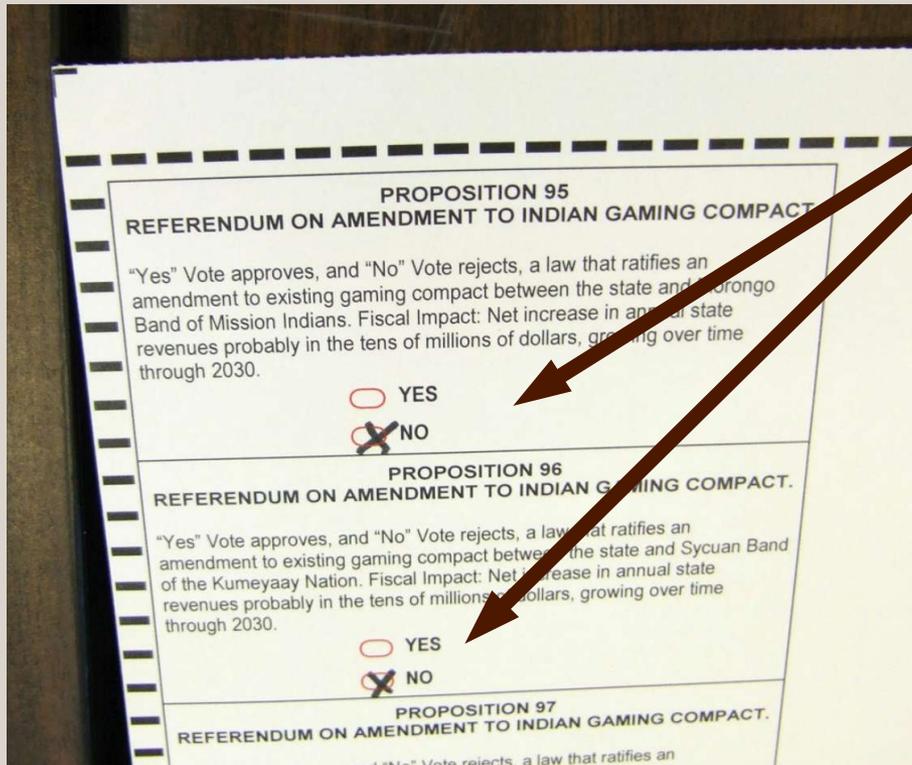
Is this a legal vote?

- Courts would probably say so ...
- ... but op-scan readers might not count it.

Increasing demands that machine's interpretation match a human's.

# Counting votes is not so easy

Real ballot from an election in CA:



One of these votes was counted correctly by the op-scan equipment, the other wasn't.

Note: this does not mean voting on paper ballots is bad, just (1) manual audits should be mandatory, and (2) more research is needed.

"Improving California's 1% Manual Tally Procedure," Joseph Lorenzo Hall, UC Berkeley School of Information, EVT Workshop 2008.

# Another lawsuit filed just this week

3 of 5  
DIRECTIVE CONCERNING THE USE, IMPLEMENTATION AND OPERATION  
OF ELECTRONIC VOTING SYSTEMS BY THE COUNTY  
BOARDS OF ELECTIONS 9/03/2008

a record of the number of canceled votes so that they can compare that record to the numbered list of voters.

7. **Inoperable electronic voting systems - repairs, substitutes and emergency back-up paper ballots.** In the event that an electronic voting system or any of its components should become inoperable during the election, the county board of elections is required, "as promptly as possible," to make necessary repairs or to use substitute machines. 25 P.S. § 3031.20(b). However, if all electronic voting machines in a precinct are inoperable, "paper ballots, either printed or written and of any suitable form," for registering votes (described herein as "emergency back-up paper ballots") shall be distributed immediately to eligible voters pursuant to section 1120-A(b) of the Election Code. Emergency back-up paper ballots shall be used thereafter until the county board of elections is able to make the necessary repairs to the machine(s) or is able to place into operation a suitable substitute machine(s).

For this purpose, county boards of elections may use, as "emergency back-up paper ballots," ballots specifically designed for use as emergency back-up paper ballots; surplus, un-voted absentee ballots; surplus, un-voted alternative ballots; ballots that the county board of elections has supplied to the district election board for use as provisional ballots; or other paper ballots that are "either printed or written and of any suitable form."

- Except as noted below, the procedures applicable to the casting of absentee ballots, alternative ballots or provisional ballots (declaration and affidavit requirements) do not apply to an emergency back-up paper ballot that is cast under section 1120-A(b) of the Election Code.
- When ballots originally intended for use as absentee ballots, alternative ballots or provisional ballots are used as emergency back-up paper ballots under section 1120-A(b) of the Election Code, the ballot is cast as a regular ballot, and not as an absentee ballot, alternative ballot or provisional ballot. Provisional ballots which are used as emergency back-up paper ballots must be clearly distinguished from provisional ballots and may not be rejected if the envelope in which the ballot is placed is missing any information that would be required of a provisional ballot.
- A county board of elections must supply an adequate amount of emergency back-up paper ballots to ensure that voting continues uninterrupted until the voting systems become operable.

As a regular ballot, the emergency back-up ballot shall be deposited by the voter in a ballot box or other secure receptacle designated by the board of elections for the deposit of completed emergency back-up paper ballots, as required for paper ballots by Section 1003(a) of the Election Code, 25 P.S. §2963(a). Absentee ballots, alternative ballots or provisional ballots that are being used as emergency back-up paper ballots must be identified as regular ballots and must be segregated from absentee ballots, alternative ballots and provisional ballots.

3

Directive issued by the Secretary of State on September 3, 2008:

"... if all electronic voting machines in a precinct are inoperable, "paper ballots, either printed or written and of any suitable form," for registering votes (described herein as "emergency back-up paper ballots") shall be distributed immediately to eligible voters ..."

[http://www.dos.state.pa.us/elections/lib/elections/090\\_election\\_administration\\_tools/evs\\_directive.pdf](http://www.dos.state.pa.us/elections/lib/elections/090_election_administration_tools/evs_directive.pdf)

# Emergency paper ballot measure

“... if all electronic voting machines in a precinct are inoperable ...”

What happens if all but one of the machines are inoperable?



Long lines, impatient (and angry) voters, some of whom can't afford to wait and thus are disenfranchised.

[http://www.dos.state.pa.us/elections/lib/elections/090\\_election\\_administration\\_tools/evs\\_directive.pdf](http://www.dos.state.pa.us/elections/lib/elections/090_election_administration_tools/evs_directive.pdf)

# Emergency paper ballot measure

Our lawsuit seeks to lower Secretary of State's "100% rule" to a more reasonable failure rate before paper ballots are used, say 50%.

Failures	Machines per Precinct									
	2 Machines		3 Machines		4 Machines		5 Machines		6 Machines	
	Prob.	Cap.	Prob.	Cap.	Prob.	Cap.	Prob.	Cap.	Prob.	Cap.
0	0.64	1.00	0.51	1.00	0.41	1.00	0.33	1.00	0.26	1.00
1	0.32	0.50	0.38	0.67	0.41	0.75	0.41	0.80	0.39	0.83
2	0.04	0.00	0.10	0.33	0.15	0.50	0.20	0.60	0.25	0.67
3			0.01	0.00	0.03	0.25	0.05	0.40	0.08	0.50
4					0.00	0.00	0.01	0.20	0.02	0.33
5							0.00	0.00	0.00	0.17
6									0.00	0.00

DRE failure rates of up to 20% have been observed. Our statistical analysis shows that this implies a precinct with 2 machines has a 32% chance of operating at 50% of capacity.

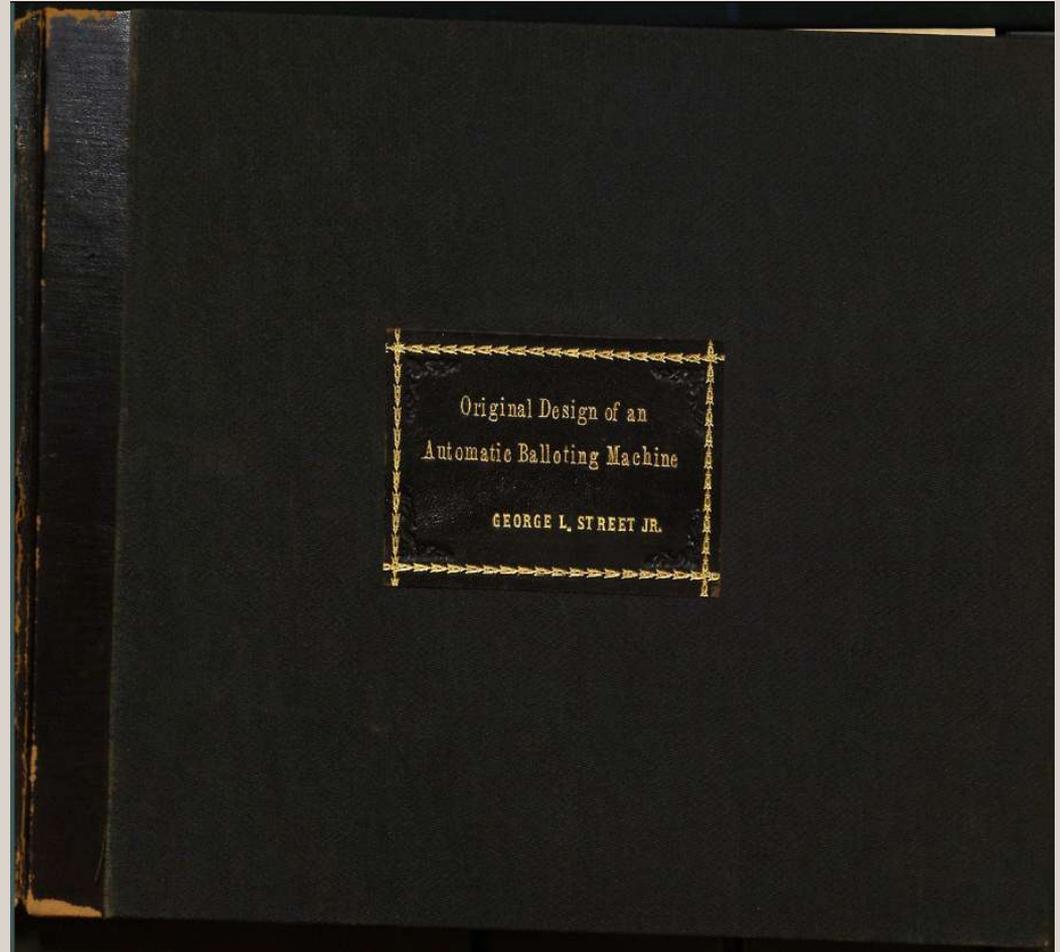
"Analysis of Volume Testing of the AccuVote Tsx / AccuView," Matt Bishop, Loretta Guarino, David Jefferson, and David Wagner, October 2005.

# Interesting historical connection

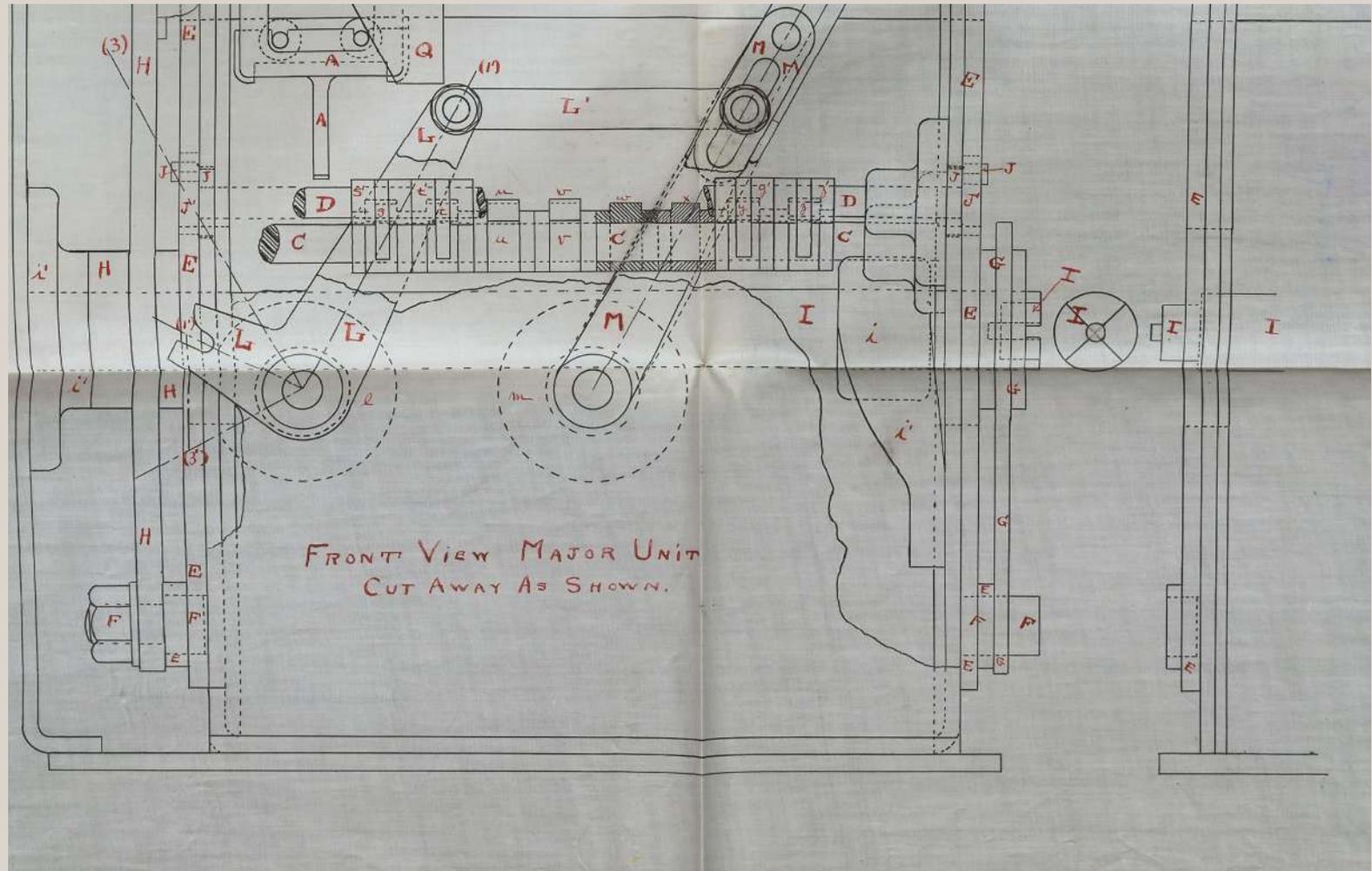
Undergraduate thesis  
“Original Design of an  
Automatic Balloting  
Machine” by George L.  
Street Jr.

Street was a member of  
the Lehigh Class of '06  
(1906, that is).

*Thanks to Ilhan Citak for  
finding and scanning this.*



# George L. Street Jr.'s 1906 thesis



# Common retorts

- “These attack scenarios are unlikely.”
- “Our e-voting systems are certified, so they must be safe.”
- “Poll workers are trained to recognize potential problems.”
- “Multiple copies of the data are stored in the system, so we're okay.”
- “Re-printing the end-of-day tally is just as good as a recount.”
- “There's no evidence of anyone having success in an attack like this.”

My assessment: ■ = optimistic   ■ = wrong   ■ = plain silly

There is no doubt we need good policies and procedures in addition to good, safe technology. (I believe almost everyone involved would like to do the right thing.)

# My recommendations

For secure and transparent elections, we should insist on:

- Giving independent experts unfettered access to e-voting software and hardware for verification purposes.
- Use of Voter Verified Paper Records (VVPR).
- Mandatory audits (hand-count a random sampling of all ballots).

And tell our lawmakers to pass pending legislation:

- H.R. 550 (The Voter Confidence and Increased Accessibility Act).
- Pennsylvania H.B. 53.

# Pennsylvania H.B. 53

6     (4.1) The voting system, pursuant to section 1112.1-A, shall  
7     produce or require the use of an individual voter-verified paper  
8     record of the voter's vote that shall be made available for  
9     inspection and verification of the ballot that  
10    is cast.

17     (b) A voter-verified paper record may include any of the  
18     following:

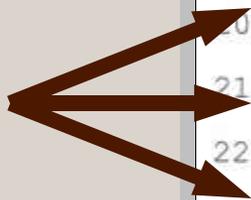
19     (1) A paper ballot prepared by the voter for the purpose of  
20     being read by an optical scanner.

21     (2) A paper ballot prepared by the voter to be mailed to an  
22     election official, whether from a domestic or overseas location.

23     (3) A paper ballot created through the use of a ballot  
24     marking device.

25     (4) A paper printout of the voter's vote produced by a touch  
26     screen or other electronic voting machine if, in each case, the  
27     record permits the voter to verify the record in accordance with  
28     this section.

Okay



Not so  
okay



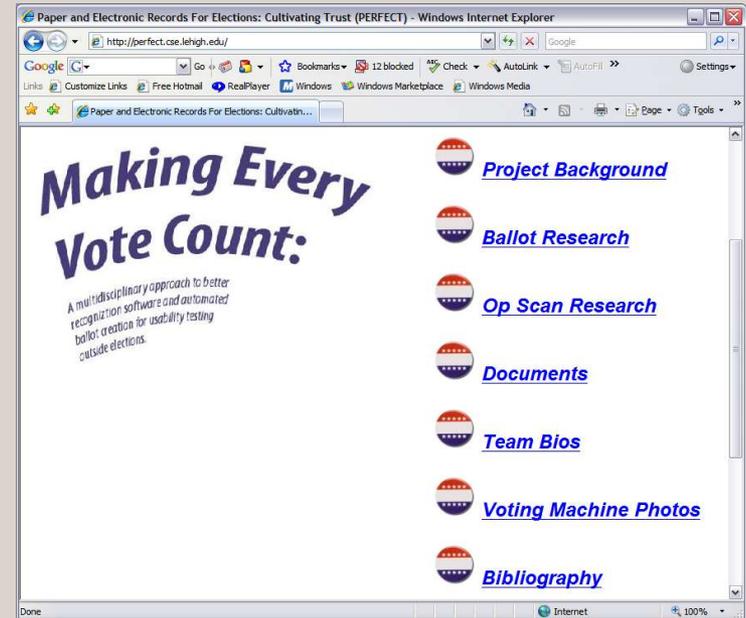
# Last Word



<http://perfect.cse.lehigh.edu/>

Paper and Electronic Records  
for Elections: Cultivating Trust

Thank you!



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