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# Applications of artificial intelligence for disaster

- <sup>2</sup> management
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Abstract Natural hazards have the potential to cause catastrophic damage 7 and significant socioeconomic loss. The actual damage and loss observed in the 8 recent decades has shown an increasing trend. As a result, disaster managers 9 need to take a growing responsibility to proactively protect their communities 10 by developing efficient management strategies. A number of research studies 11 apply artificial intelligence (AI) techniques to process disaster-related data for 12 supporting informed disaster management. This study provides an overview of 13 current applications of AI in disaster management during its four phases: miti-14 gation, preparedness, response, and recovery. It presents example applications 15 of different AI techniques and their benefits for supporting disaster manage-16 ment at different phases, as well as some practical AI-based decision support 17 tools. We find that the majority of AI applications focus on the disaster re-18 sponse phase. This study also identifies challenges to inspire the professional 19 community to advance AI techniques for addressing them in future research. 20

<sup>21</sup> Keywords Disaster resilience · Disaster management · Artificial intelligence

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# 22 1 Introduction

Natural hazards have caused catastrophic damage and significant socioeco-23 nomic loss, showing an increasing trend (Hoeppe 2016). Statistics for 2017 24 indicate economic losses from natural hazards in the United States exceed 25 26 \$300 billion; Hurricane Harvey alone has caused \$125 billion in socioeconomic losses (Wilts 2018). These adverse impacts pose challenges to disaster response 27 managers, who face increasingly tight resources and an exhausted workforce, 28 and such challenges force local authorities to re-evaluate their policies for dis-29 aster management. 30

There are large volumes of data generated daily, including real data and 31 simulation data. Both types of data can be used to support disaster manage-32 ment. The advancement of information communication technologies, such as 33 social media, telecommunication data, and remote sensing, make large volumes 34 of real data available (Eguchi et al. 2008; Boccardo and Tonolo 2014; Rawat 35 et al. 2015; Adeel et al. 2018; Novellino et al. 2018). Sometimes, real data is 36 scarce. In research communities, many computational models are developed 37 to generate simulation data for estimating the disaster-induced impact and 38 identifying vulnerable structures, such as IN-CORE (Ellingwood et al. 2016) 39 and PRAISys (The PRAISys Team 2018). Regardless of data type, acquir-40 ing, managing, and processing big data in a short time is essential to support 41 efficient disaster management. Using AI to analyze the voluminous data to 42 rapidly extract useful and reliable information becomes increasingly popular 43 for supporting effective decision-making in disaster management (Eskandar-44 pour and Khodaei 2017; Velev et al. 2018; Yu et al. 2018; Wang et al. 2018d; 45 Barabadi and Ayele 2018). 46 Some published studies have reviewed AI applications in disaster man-47 agement, with the topic targeted to certain types of hazard, infrastructure, 48 and data. For example, Fotovatikhah et al. (2018) have discussed the status 49 and challenges of applying computational intelligence methods to major flood 50

control and disaster management. Zagorecki et al. (2013) have reviewed ap-51 plications of data mining and machine learning to disaster management, but 52 there is no discussion on any practical AI-based decision support tools. Other 53 studies review how computer vision methods have been applied for disaster 54 55 management by analyzing remote sensing data, such as target recognition with deep learning (Zhang et al. 2016b), fire detection with wavelet analysis and 56 neural networks (Yuan et al. 2015), and estimating three-dimensional struc-57 tures (Gomez and Purdie 2016). However, very few of them have explicitly 58 discussed the progress and challenges of how AI has been applied in disaster 59 management in different phases, by considering hazard and infrastructure as 60 well as data in a general sense. 61 In what follows, we describe the research background of AI methods and 62

disaster management first, followed by the state of research and practice of applications of AI in disaster management in four phases, and the challenges therein. In particular, practical decision support tools for disaster management based on AI methods have been reviewed. This study can facilitate new <sup>67</sup> researchers to identify critical research gaps in this field and provide practi-

tioners a comprehensive summary for selecting an appropriate AI model and

<sup>69</sup> practical decision support tool based on their community needs.

#### 70 2 Background

#### 71 2.1 AI methods

This study reviews the state of research and practice of applying AI in disaster management, by classifying AI methods in six categories: supervised
models, unsupervised models, deep learning, reinforcement learning, and deep
reinforcement learning, as well as optimization.

#### 76 2.1.1 Supervised models

<sup>77</sup> Supervised models represent algorithms that are trained on pre-existing data
<sup>78</sup> with human input. Using labelled training data with known input and out<sup>79</sup> put pairs, supervised models infer a function from input to output using re<sup>80</sup> gression/classification methods to predict the value/category of the output
<sup>81</sup> variable (Russell and Norvig 2016). In general, supervised models have been
<sup>82</sup> used for information extraction, object recognition in computer vision, pattern
<sup>83</sup> recognition, and speech recognition, etc.

#### 84 2.1.2 Unsupervised models

Without human input, unsupervised models use statistical methods to extract 85 hidden structure in unlabeled data based on inherent characteristics (Rus-86 sell and Norvig 2016). Unsupervised models are suitable for detecting the 87 abnormal data and reducing the data dimension, with wide applications to 88 clustering and data aggregation problems. Clustering algorithms are used for 89 pattern recognition by partitioning unlabeled data into multiple groups based 90 on certain similarity features (Maulik and Bandyopadhyay 2002). Dimension 91 reduction algorithms, such as principal component analysis (PCA), can reduce 92 the complexity of data and avoid overfitting. 93

#### - •

# 94 2.1.3 Deep learning

Deep learning is a class of algorithms that use multiple layers to extract fea-95 tures from the input data progressively, with improved learning performance 96 and broad application scopes (Deng and Yu 2014; Pouyanfar et al. 2018). De-97 spite the drawback of requiring long training time, deep learning algorithms 98 are particularly suitable to solve problems of damage assessment, motion de-٩Q tection, and facial recognition, transportation prediction, and natural language 100 processing for supporting disaster management. For example, recursive neural 101 networks (RvNN) and recurrent neural networks (RNN) have been successfully 102

<sup>103</sup> applied to natural language processing (NPL) (Socher et al. 2011; Graves et al.

<sup>104</sup> 2013). Convolutional neural networks (CNN) are suitable for image recogni-<sup>105</sup> tion (Simonyan and Zisserman 2014), computer vision (Krizhevsky et al. 2017),

<sup>106</sup> NPL (Zhao and Wu 2016), and speech processing (Dahl et al. 2012).

## 107 2.1.4 Reinforcement learning

By learning from a series of reinforcements (using punishment and rewards as 108 positive and negative signals), reinforcement learning algorithms are modeled 109 in the form of Markov decision processes to address goal-oriented problems 110 for making decisions in a sequential manner (Russell and Norvig 2016). Re-111 inforcement learning is suitable for solving problems that need to make a se-112 quence of decisions in an uncertain and complex environment, with successful 113 applications in robotics, resource management, and traffic light control. The 114 main challenge in reinforcement learning is preparing the suitable training 115 environment that is closely related to tasks to be performed. Typical rein-116 forcement learning algorithms include Q-learning and SARSA (State-Action-117 Reward-State-Action), to name a few (Sutton and Barto 2018). 118

#### 119 2.1.5 Deep reinforcement learning

Deep reinforcement learning combines reinforcement learning with deep neural 120 networks with the aim of creating software agents that can learn by themselves 121 to establish successful policies for gaining the most long-term rewards. Deep 122 reinforcement learning has superior performance for solving problems with 123 complex sequential tasks, such as computer vision, robotics, finance, smart 124 grids, etc. Requiring a large amount of training data and training time to 125 reach reasonable performance, deep reinforcement learning sometimes becomes 126 extremely computationally expensive. 127

## 128 2.1.6 Optimization

While the focus of this study is how AI methods are applied for disaster management, optimization is an essential ingredient in most of AI methods to find the best model as measured by an objective function. For this reason, this study explicitly lists three optimization techniques as example methods and investigates their applications in disaster management.

#### 134 2.2 Disaster management

#### 135 2.2.1 Four phases of disaster management

As shown in Fig. 1, disaster management involves four phases: mitigation, pre-

paredness, response, and recovery. The mitigation phase refers to management
 activities for preventing or minimizing future emergencies and consequences

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Applications of artificial intelligence for disaster management

Before	a Disaster	During a Disaster After a Disast		
Mitigation Prepared- ness		Response	Recovery	
<ul> <li>Develop preventive laws and regulations</li> <li>Implement advanced codes and standards</li> <li>Establish zoning requirements</li> <li>Buy insurance</li> <li>Construct barriers</li> </ul>	<ul> <li>Stock disaster supplies kit</li> <li>Develop mutual aid agreements and plans</li> <li>Train response personnel and concerned citizens</li> <li>Prepare shelters and backup facilities</li> </ul>	<ul> <li>Search and rescue to identify affected people</li> <li>Assess initial damage</li> <li>Provide first-aid and humanitarian assistance</li> <li>Open and manage shelters</li> </ul>	<ul> <li>Debris removal</li> <li>Precise damage assessment</li> <li>Infrastructure destruction and reconstruction</li> <li>Restore the livelihoods</li> <li>Community development</li> </ul>	

Fig. 1 Four phases of disaster management.

with long-term benefits. Examples of mitigation activities include enforcing 139 advanced building codes and standards, retrofitting highway overpasses, hos-140 pitals, and shelters, informing and educating the general public and related 141 stakeholders about hazards and potential mitigation strategies. The prepared-142 ness phase comes into place when an emergency or a disaster is likely to take 143 place. It corresponds to preparatory activities prior to a disaster in order to 144 save lives and help response and rescue operations, such as stocking food and 145 water, posting emergency contacts, and preparing evacuations. With plans and 146 strategies developed beforehand, the response phase mainly puts them into 147 action. Response activities happen during a disaster, usually involving evacu-148 ating threatened areas, firefighting, search and rescue efforts, shelter manage-149 ment, and humanitarian assistance. After a disaster, the recovery phase refers 150 to repair and reconstruction efforts to return to a normal or even better func-151 tionality level. Recovery actions usually include debris cleanup, precise damage 152 assessment, and infrastructure reconstruction, as well as financial assistance 153 from government agencies and insurance companies. 154

#### <sup>155</sup> 2.2.2 Disaster management and disaster resilience

The goals of disaster management are to implement operations and strategies 156 to effectively prepare, rapidly respond and rescue, efficiently allocate resources, 157 quickly correct damage and recover to full functionality, ultimately protect the 158 community and minimize the adverse impact. That is to say that the efficient 159 disaster management should strengthen the disaster resilience of a community. 160 The term "disaster resilience" refers to the ability of an entity to anticipate, 161 resist, absorb, adapt to, and rapidly recover from an unexpected disturbance 162 (DHS 2010). Fig. 2 displays features of disaster resilience in terms of dimen-163 sions, stakeholders, disruption types, properties of resilient entities, and ben-164 efits. In case of a disaster, such as a hurricane or an earthquake, a resilient 165

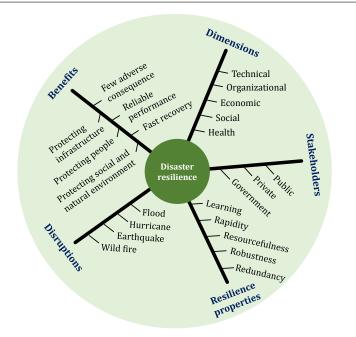


Fig. 2 Features of disaster resilience.

community is expected to be able to protect people, infrastructure, and socioe-166 conomic environment, with reliable performance and fast recovery capability, 167 as well as minimal adverse consequence. The disaster resilience of a community 168 can be enhanced by improving the rapidity, robustness, resourcefulness, and 169 redundancy, as well as learning capability, in which learning refers to residents' 170 changing expectations with respect to infrastructure performance and opera-171 tional adaptations of infrastructures to new circumstances during and after a 172 disaster (Sun et al. 2020b). From the disaster management perspective, gov-173 ernments and other stakeholders organize their operations in multiple aspects 174 (technical, organizational, economic, social, and health), various management 175 plans and strategies are developed and implemented. 176

A number of programs have been established to promote the research and 177 practice of disaster resilience for supporting informed decision-making in dis-178 aster management. Some examples in the United States are described as fol-179 lows. Since 2013, the Campus Resilience Program has yielded successful tools 180 and guidelines for evaluating the vulnerability of the academic community 181 nationwide. The Hazard Mitigation Grant Program (HMGP) supports com-182 munities in implementing cost-effective hazard mitigation measures, such as 183 structure retrofit and reconstruction, to eliminate the risk of loss of life and 184 property damage from future disasters (FEMA 2018). The Community Re-185 silience Planning Guide presents a six-step process to help local community 186 authorities identify gaps, create resilience plans, and implement strategies for 187 better community resilience against future disasters (NIST 2018; Cauffman 188

et al. 2018). In addition, local authorities and private organizations have been 189 implementing practices for resilience enhancement. For example, Los Angeles 190 County in California has developed a community resilience toolkit to support 191 decision-making in disaster management (Eisenman et al. 2014; Bromley et al. 192 2017). The 100 Resilient Cities program supports city governments' efforts in 193 fostering urban resilience and addressing climate change and equity (The Rock-194 efeller Foundation 2019). In parallel, other countries have also been actively 195 working in this direction. The Horizon 2020 Research and Innovation Pro-196 gramme has developed the European Resilience Management Guideline and 197 tools for supporting effective disaster management and enhancing the resilience 198 against disasters and climate change (EU-CIRCLE 2019). Under the Sendai 199 Framework for Disaster Resilience Network, the Asia-Pacific region has been 200 undertaking major reforms in developing disaster management policies with 201 increasing applications of AI in disaster response (UN 2015; Renwick 2017; 202 Pau et al. 2017; Izumi et al. 2019). All these guidelines and computational 203 tools aim to support disaster management and enhance disaster resilience. AI 204 has great potential to alleviate the burden of decision makers in disaster man-205 agement by processing large amounts of disaster-related data more efficiently 206

207 and effectively.

#### <sup>208</sup> 3 Applications of AI for Disaster Management

Fig. 3 shows the increasing trend in the number of publications on World-209 Cat from 1991 to 2018 with regards to applying AI to disaster management. 210 The greatest number of publication in disaster response among four phases 211 indicates that applications of AI mainly focus on this phase. While AI will 212 not replace the experience and wisdom of well-trained disaster professionals, 213 at least in the foreseeable future, AI techniques can rapidly analyze big data 214 and perform predictive analytics for supporting decision-making in disaster 215 management. 216

To illustrate how different AI methods have been applied in disaster man-217 agement, we have identified a total of 26 AI methods and 17 application areas 218 as representative examples. By using every AI method and every application 219 area as key words, we have searched for related literature on the websites 220 of Google Scholar and Web of Science, requiring joint presence of both key-221 words. Figure 4 presents our findings on AI applications to the four phases 222 and their sub-areas. In this figure, every solid line demonstrates the presence 223 of applications of an AI method in a certain area. More solid lines connecting 224 to Application Areas  $1 \sim 4$  and  $9 \sim 13$  mean that there are more studies 225 applying AI methods in mitigation and response phases. Detailed application 226 examples are presented as citations in Tables  $1 \sim 4$ . It is worth noting that 227 only the most relevant/representative publications are presented in some cells 228 in the tables due to space limits. 229

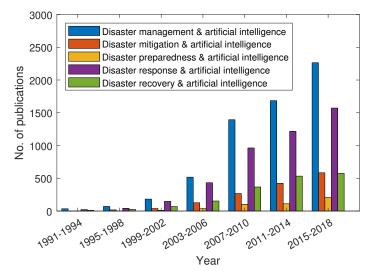


Fig. 3 An increasing number of publications on artificial intelligence in disaster management.

Note: Publications refer to articles, books, and downloadable archive materials. The number of publications is determined by summing the number of publications every four years between 1991 and 2018 when searching with the keywords in the legend on WorldCat (http://www.worldcat.org/).

#### <sup>230</sup> 3.1 AI Applications in Disaster Mitigation

In the disaster mitigation phase, decision makers need to identify hazard and 231 risks (Application Area 1), predict possible impact (Application Area 2), assess 232 vulnerability (Application Area 3), and develop mitigation strategies (Applica-233 tion Area 4), in order to create stronger, safer, and more resilient communities. 234 AI methods have been widely applied to support disaster mitigation manage-235 ment in the four areas. In particular, supervised models and unsupervised 236 models have been extensively used for Application Area 1, followed by Areas 237 2 and 3. Conversely, reinforcement learning and deep reinforcement learning 238 are rarely used in the four areas. 239

Possible hazards and risks should be identified for the community of in-240 terest. For natural hazards, characteristics of terrain, lithology, meteorology, 241 and even human activities should be analyzed, and hazard zone maps should 242 be developed. Traditional methods, such as field monitoring, physics-based 243 models, expert surveys, and multi-criteria decision-making methods, are ap-244 plied to identify hazards and risk factors. Sometimes, these methods are labor 245 intensive, possibly with high false alarm rate (Bellaire et al. 2017). In this 246 case, AI techniques can rapidly analyze large volumes of data to assess hazard 247 risks in a timely manner (Pradhan 2009; Yilmaz 2010). There are extensive 248 studies applying different AI methods to developing susceptibility maps for 249 different types of hazards. For instance, snow avalanche predictions have been 250 made using logistic regression (LR) (Gauthier et al. 2017), support vector 251

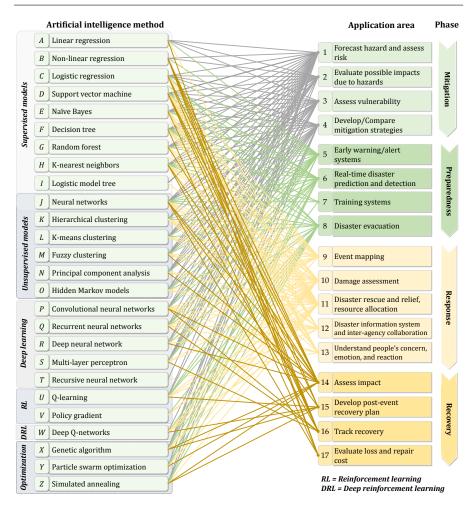


Fig. 4 Applications of artificial intelligence in disaster management.

Note: A solid link between an AI method and an application area represents the fact that there are applications of the AI method to this area. Detailed application examples are presented in Tables  $1 \sim 4$ .

machine (SVM) (Choubin et al. 2019), and neural networks (Dekanová et al. 252 2018; Rauter and Winkler 2018). Landslide susceptibility can be assessed by 253 SVM (Xu et al. 2012; Goetz et al. 2015; Zhou et al. 2018a), LR (Goetz et al. 254 2015; Zhou et al. 2018a), random forest (RF) (Goetz et al. 2015), and neural 255 networks (Dou et al. 2015; Zhou et al. 2018a). The aforementioned AI meth-256 ods have also been applied to other types of hazards, such as mapping forest 257 fire susceptibility (Sachdeva et al. 2018), predicting fire size (Mitsopoulos and 258 Mallinis 2017), and forecasting precipitation (Huang et al. 2018). 259

AI techniques have been applied to estimate possible impacts and assess vulnerability. For instance, possible structural damage under natural hazard(s) can be predicted by using fragility curves, which were traditionally built from

statistical analyses of historical and simulation data and now can be estimated 263 from the application of AI methods, such as LR (Ghosh et al. 2013; Kamesh-264 war and Padgett 2014; Mangalahtu et al. 2018), neural networks (Lagaros 265 and Fragiadakis 2007; Mitropoulou and Papadrakakis 2011; Liu and Zhang 266 2018; Mangalathu et al. 2018), and SVM (Mahmoudi and Chouinard 2016). 267 Infrastructure service disruptions due to hazards can be predicted based on his-268 torical data using generalized regression models (Reed 2008; Liu et al. 2008), 269 RF (Nateghi et al. 2014; Cerrai et al. 2019; D'Amico et al. 2019), decision 270 tree (DT) (Wanik et al. 2015), and Bayesian additive regression tree (BART) 271 (Cerrai et al. 2019). Using data from physical sensors and social sensing, the 272 vulnerability of structures and communities can be assessed with spatial regres-273 sion models (Wang et al. 2019g), RF (Yoon and Jeong 2016), neural networks 274 (Wu et al. 2008), deep neural networks (Nabian and Meidani 2018b), etc. In 275 terms of the number of publications, there are fewer applications of AI methods 276 to estimating hazard-induced impact and assessing community vulnerability 277 (Application Areas 2 and 3), compared with those on hazard forecast and risk 278 assessment (Application Area 1). 279

Based on the impact and vulnerability analyses, decision makers can gain 280 better situation awareness with more confidence and develop effective miti-281 gation strategies (Schwartz 2018), such as retrofitting vulnerable structures 282 (Karamlou et al. 2016), elevating electric substations and using underground 283 cables (Duffey 2019), and developing effective disaster-related policies (Sun 284 et al. 2020a, 2021). In this process, AI techniques can support developing and 285 comparing mitigation strategies. For instance, different AI methods have been 286 applied to identifying management priorities (Canon et al. 2018), estimat-287 ing people's needs during a disaster (Nguyen et al. 2019a), and recognizing 288 human activities (Sadiq et al. 2018). Clustering algorithms are used for an-289 alyzing remote images and developing contingency plans (Dou et al. 2014), 290 and optimization algorithms have been applied for developing effective plans 291 of disaster response and restoration (Bocchini and Frangopol 2012a,b; Gama 292 et al. 2016). So far, there are only a very small number of studies that apply 293 AI to developing and comparing mitigation strategies (Application Area 4). 294

<sup>295</sup> 3.2 AI Applications in Disaster Preparedness

In the preparedness phase, decision-makers should send out early warnings and alert the public (*Application Area* 5) after identifying the disaster that is about to come (*Application Area* 6), utilize emergency training systems and tools (*Application Area* 7), and prepare for evacuations if needed (*Application Area* 8). Among the four areas, most AI methods have been applied to *Areas* 5, 6, and 8, with very limited applications to *Area* 7.

Identifying the coming disasters in real time and sending out early warnings
 are practical solutions for disaster preparations. These tasks usually rely on
 experts' analyses and judgments of sensor measurements in the field, and AI
 techniques can serve as an alternative in a cost-effective manner to forecasting

AI Method	1. Forecast hazard and risk		3. Assess vulnerability	4. Develop/Compare strategy
A. Linear regression & extensions	Reed (2008); Chang et al. (2010); Kim et al. (2019)	Kahn (2006); Simmons and Sutter (2008); Zahran et al. (2008); Peduzzi et al. (2009); Maliszewski et al. (2012)	Yang and Yu (2011); Geiß et al. (2014); Heß (2017); Wang et al. (2019g); Sun et al. (2019)	NA
B. Non-linear regression	Pradhan (2009); Yilmaz (2010); Trafalis et al. (2014); Lin et al. (2017a); Goetz et al. (2015)	Zorn and Shamsedin (2015); Lee et al. (2016)	NA	NA
C. Logistic regression	Bai et al. (2010); Marjanović et al. (2011); Ozdemir and Altural (2013); Wang et al. (2013b)	Eskandarpour and Khodaei (2017); Rosellini et al. (2018); Yuan and Moayedi (2019)	Ettinger et al. (2016); Li et al. (2019b)	Khan and Sayem (2012); Rakgase and Norris (2014); Cavalcante et al. (2019)
D. Support vector machine	Yilmaz (2010); Marjanović et al. (2011); Xu et al. (2012); Lin et al. (2017a); Zhou et al. (2018a)	Galatzer-Levy et al. (2014); Li et al. (2014); Karstoft et al. (2015); Tinoco et al. (2018)	Geiß et al. (2014); Sun et al. (2019); Xiong et al. (2019)	Guo et al. (2009); Rudin et al. (2012); Dou et al. (2014); Pogrebnykov and Maldonado (2017)
E. Naïve Bayes	Shirzadi et al. (2017); Chen et al. (2019); Sankaranarayanan	Bawono et al. (2020)	Geiß et al. (2014)	Sadiq et al. (2018)
F. Decision tree	et al. (2019) Saito et al. (2009); Marjanović et al. (2011); Rhee and Im (2017)	Wanik et al. (2015); Yuan and Moayedi (2019)	Sriram et al. (2019)	Guo et al. (2009); Sadiq et al. (2015, 2018)
G. Random forest	McGovern et al. (2011); Goetz et al. (2015); Rhee and Im (2017); Chen et al. (2018)	Galatzer-Levy et al. (2014); Nateghi et al. (2014); Wanik et al. (2015); Cerrai et al. (2019)	Yoon and Jeong (2016); Sriram et al. (2019)	Rudin et al. (2012)
H. K-nearest neighbors	Liu et al. (2016); Sankaranarayanan et al. (2019)	Cheng and Hoang (2014)	Leon and Atanasiu (2006); Kusumawardani et al. (2016)	Sun et al. (2017); Sadio et al. (2015, 2018)
I. Logistic model tree	Chen et al. (2018, 2019)	NA	Yang et al. (2019d)	NA
J. Neural networks	Melchiorre et al. (2008); Yilmaz (2010); Dou et al. (2015); Huang et al. (2018)	Karamouz et al. (2014); Tinoco et al. (2018); Oktarina et al. (2019); Tinoco et al. (2019)	Wu et al. (2008); Pilkington and Mahmoud (2016); Guo et al. (2018); Wahab and Ludin (2018)	Jones et al. (2008)
K. Hierarchical clustering	Leśniak and Isakow (2009); Trugman and Shearer (2017)	ŇA	Cavalieri et al. (2014); Su et al. (2015); Kim et al. (2017); Chang et al. (2018)	NA
L. K-means clustering	Iliadis (2005); Melchiorre et al. (2008); Leśniak and Isakow (2009); Jayaram and Baker (2010)	Lam et al. (2016)	Su et al. (2015); Fernandez et al. (2016)	Pual2012 (2012)
M. Fuzzy clustering	(2010) Zhang (2004); Shi et al. (2010); Wang et al. (2013b); Ansari et al. (2015); Wang et al. (2018c)	da Silva et al. (2008); Wlwood and Corotis (2015)	Alam et al. (2000); Wu et al. (2013); Chen et al. (2014b)	Dou et al. (2014)
N. Principle component analysis	Chen and Hong (2012); Shi et al. (2015)	Li et al. (2014)	Chen et al. (2014a); Fernandez et al. (2016); Heß (2017); Uddin et al. (2019)	Moradi et al. (2019)
O. Hidden Markov models	Wang et al. (2010b); Khadr (2016); Wang et al. (2018a)	Song et al. (2014, 2016)	NA	Eicken et al. (2011)
P. Convolutional neural networks	DeVries et al. (2018); Padmawar et al. (2019)	NA	Crawford et al. (2018); Han et al. (2019)	Pogrebnykov and Maldonado (2017); Nguyen et al. (2019a)
Q. Recurrent neural networks	Ma et al. (2015b); Asim et al. (2017); Cortez et al. (2018); Wang et al. (2020b); Mutlu et al. (2019)	NA	NA	Canon et al. (2018); Pechenkin and Demidov (2018); Nguyen et al. (2019a); Yang et al. (2019b)
R. Deep neural network	Sankaranarayanan et al. (2019)	NA	Nabian and Meidani (2018b); Dogaru and Dumitrache (2019)	NA
S. Multi-layer perception	Zare et al. (2013); Hernández et al. (2016); Pham et al. (2017)	Yuan and Moayedi (2019)	Wahab and Ludin (2018)	Sadiq et al. (2018)
T. Recursive neural network	Mishra and Desai (2006); Hosseini-Moghari and	NA	NA	NA
U. Q-learning	Araghinejad (2015) Lin et al. (2013)	NA	Yan et al. (2016); Otoum et al. (2010)	Zhang et al. (2019b)
V. Policy gradient W. Deep Q-networks	NA NA	NA NA	Otoum et al. (2019) NA NA	NA Elsayed and Erel Kantare (2018)
X. Genetic algorithm	Chang and Chien (2007); Terranova et al. (2015)	Tinoco et al. (2019)	NA	Erol-Kantarc (2018) Tapia and Padgett (2015); Yan et al. (2017); Yang et al.
Y. Particle swarm optimization	Romlay et al. (2016); Padmawar et al. (2019)	NA	NA	(2019b) NA
Z. Simulated annealing	Zhu and Wu (2013); Hosseini et al. (2019)	NA	NA	Afandizadeh et al. (2013); Ma et al. (2015a); Gama et al. (2016)
NIA		liestion area (aslumn)	using the AI method	(*****)

 ${\bf Table \ 1} \ {\rm Example \ AI \ applications \ for \ disaster \ mitigation}$ 

NA = no literature was found on the application area (column) using the AI method (row).

AI Method	5. Early warning system	6. Real-time disaster prediction and detection	7. Training systems	8. Disaster evacuation
A. Linear regression	Uunk et al. (2010); Nolasco-Javier and Kumar (2018); Pillai et al. (2019)	NA	NA	NA
B. Non-linear regression	Moon et al. (2018)	NA	NA	NA
C. Logistic regression	Wang et al. (2013a); Hoot and Aronsky (2006)	Agarwal et al. (2016); Kong et al. (2016b); Zhao et al. (2020)	NA	Riad et al. (2006); Nguyen et al. (2016)
D. Support vector machine	Sakaki et al. (2012); Chou and Thedja (2016); Rafiei and Adeli (2017); Wang et al. (2019c); Mori et al. (2013); Pogrebnykov and Maldraged (2017)	Arridha et al. (2017); de Morsier et al. (2013); Grasic et al. (2018); Jhong et al. (2017); Zhao et al. (2020)	NA	Mori et al. (2013); Higuchi et al. (2014); Jiang et al. (2017); Wang et al. (2019b)
E. Naïve Bayes	Maldonado (2017) Mane and Mokashi (2015)	Muda et al. (2011); Kumar et al. (2014); Grasic et al. (2018)	NA	NA
F. Decision tree	Chen and Wang (2009); Zhou et al. (2017a)	Arridha et al. (2017)	NA	Burris et al. (2015); Wang et al. (2019b)
G. Random forest	Li et al. (2018b); Moon et al. (2018)	Grasic et al. (2018); Yu et al. (2017)	NA	NA
H. K-nearest neighbors		Muda et al. (2011); Kumar et al. (2014)	NA	Rahman and Hasan (2018); Wang et al. (2019b)
I. Logistic model tree	NA	NA	NA	NA
J. Neural networks	Duncan et al. (2013); Kong et al. (2016a); Moon et al. (2018); Muhammad et al. (2018); Abdullahi et al. (2018); Tomin et al. (2013)	Ren et al. (2010); Bande and Shete (2017); Berkhahn et al. (2019); Zhao et al. (2020)	Djordjevich et al. (2008)	Sharma and Ogunlana (2015); Nguyen et al. (2016); Rahman and Hasan (2018); Peng et al. (2019); Wang et al. (2019b)
K. Hierarchical clustering	NA	lfrim et al. (2014); Akhtar and Siddique (2017)	NA	Özdamar and Demir (2012)
L. K-means clustering	Naidu et al. (2018); Tomin et al. (2013)	NA	NA	Andersson et al. (2012
M. Fuzzy clustering	Saad et al. (2014); Tomin et al. (2013)	Ren et al. (2010)	NA	NA
N. Principal component analysis	Peiris et al. (2010); Wan and Mita (2010)	NA	NA	NA
O. Hidden Markov models	Holgado et al. (2017)	Benítez et al. (2007); Toreyin and Cetin (2009); Günay et al. (2010); Heck et al. (2010)	NA	Andersson et al. (2012); Raymond et al (2012); Song et al. (2015)
P. Convolutional neural networks	Cheng et al. (2017); Lohumi and Roy (2018); Perol et al. (2018); Long et al. (2018); Giffard-Roisin et al. (2018); Muhammad et al. (2018); Pogrebnykov and Maldonado (2017)	Ali et al. (2019); Layek et al. (2019); Wang et al. (2019a); Muhammad et al. (2018)	NA	NA
Q. Recurrent neural networks	Hoot and Aronsky (2006); Cheng et al. (2017); Pogrebnykov and Maldonado (2017); Long et al. (2018)	Chen et al. (2013); Chang et al. (2014); Jaech et al. (2019)	NA	Rahman and Hasan (2018)
R. Deep neural network	Long et al. (2018)	NA	NA	Jiang et al. (2017)
S. Multi-layer perception	Khan et al. (2018)	Tian and Chen (2017a); Wang et al. (2019a)	NA	NA
T. Recursive neural network	NA	NA	NA	NA
U. Q-learning	NA	Lingam et al. (2019)	Khouj et al. (2011)	Sarabakha and Kayacan (2016); Yao et al. (2019)
V. Policy gradient	NA	NA	NA	Zheng and Liu (2019)
W. Deep Q-networks X. Genetic algorithm	NA Shirzaei and Walter (2010); Terranova	NA Ahmad et al. (2009)	NA NA	Sharma et al. (2020) Pourrahmani et al. (2015); Sharma and Ogunlana (2015); Gao
	et al. (2015)			et al. (2019)

Table 2	Example AI	applications for	disaster	preparedness

the coming events (Ko and Kwak 2012), such as impending hurricane tra-306 jectories and storms (Ghosh and Krishnamurti 2018), earthquakes (Mousavi 307 et al. 2019), ice jams (Zhao et al. 2012), floods (Yaseen et al. 2015), volcano 308 eruptions (Parra et al. 2016), and fires (Muhammad et al. 2018). For instance, 309 the UrbanFlood project in Europe has established an internet-based platform 310 for early flood warnings, in which an AI component has been developed for 311 detecting abnormal dike behaviours based on the analysis of thousands of sen-312 sor streams (Noymanee et al. 2017). Sakaki et al. (2012) performed semantic 313 analysis of Japanese tweets with a tweet crawler, estimated the earthquake 314 location, and developed a reporting system named Toretter that was faster 315 than broadcast announcements by Japan Meteorological Agency. Based on 316 the real-time analysis of smartphone accelerometer measurements of tilting 317 motions, earthquake early warnings can also be sent out (Reilly et al. 2013). 318 Prior to a disaster event, utility companies can use AI-based tools to estimate 319 likely damage locations and service outage duration and get prepared before-320 hand. For example, Hydro One, a large utility company in Ontario, Canada, 321 has successfully used such real-time predictive analyses in April 2018 and then 322 positioned crews in key areas and effectively restored the power service within 323 four days, significantly reducing the restoration time (McConnon 2018). With 324 the implementation of IoT, cloud network services can also rapidly and accu-325 rately share information on disaster situations for early warnings (Chung and 326 Park 2016). 327

With respect to disaster evacuations, some situations may give people a day 328 or two to prepare while others might call for immediate actions. To prepare 329 for evacuations, possible problems should be carefully considered and coun-330 termeasures should be developed. For example, contraflow operations can be 331 implemented for hurricane evacuations in coastal areas to move the most traf-332 fic towards inland safety, and AI methods can help practical implementations 333 by determining when to activate contraflow lane reversals (Burris et al. 2015). 334 While large crowds move in different routes during evacuations, it is necessary 335 to estimate crowd dynamics (Jiang et al. 2017; Wang et al. 2019b; Zheng and 336 Liu 2019), identify the best evacuation paths (Peng et al. 2019), and develop 337 evacuation support systems (Higuchi et al. 2014). The most popular AI meth-338 ods applied for evacuations (Application Area 8) include SVM, DT, neural 339 networks, and reinforcement learning, as well as optimization algorithms. 340

341 3.3 AI Applications in Disaster Response

Timely disaster responses are a matter of life and death. Decision-makers need to make best efforts to understand the situation and improve the efficiency of response efforts. This naturally requires situation awareness for effective decision-making (*Application Areas* 9 and 10) and user-friendly disaster information systems for effective coordination (*Application Area* 12) to ensure disaster relief and address people's urgent needs and concerns (*Application Areas* 11 and 13). AI methods can be applied to facilitate relief and response efforts. In general, supervised and unsupervised models, and deep learning have been extensively applied to *Areas* 9 and 10, while other AI methods are rarely adopted for the two areas. Most AI methods have been applied to *Area* 11. Mainly supervised models and deep learning algorithms have been applied to *Areas* 12 and 13.

Developing maps of the impact area(s) is essential for situation awareness, 354 supporting efficient disaster response efforts (Ramchurn et al. 2015, 2016). 355 Event maps and damage information that are generated from different AI 356 methods can provide vital information for planning search and rescue oper-357 ations, staging and deploying resources, and understanding short-term hous-358 ing needs (Vieweg 2012; Lin 2015; Kim et al. 2018c; Rizk et al. 2019). Huge 359 volumes of disaster-related data are continuously generated from satellites 360 (Eguchi et al. 2008), unmanned aerial vehicles (Aljehani and Inoue 2018), 361 robots (Park et al. 2019), and social media (Cervone et al. 2016), based on 362 which disaster event maps can be generated. For instance, satellite images have 363 been used to generate maps of infrastructure inventory models (Eguchi et al. 364 2008), damaged buildings and bridges (Adams et al. 2002; Hutchinson and 365 Chen 2005; Balz and Liao 2010), and disaster-impacted regions (Casagli et al. 366 2017; Rosser et al. 2017). By rapidly analyzing these data with computer vision 367 methods, "live maps" are generated to represent disaster situations (Lucieer 368 et al. 2014; Middleton et al. 2014; Fohringer et al. 2015; Valkaniotis et al. 2018; 369 Xiao et al. 2018). When analyzing maps and images, classifier algorithms are 370 often used (Vetrivel et al. 2016). By comparing maps and images pre-event and 371 post-event, feature discrepancies can be extracted to assess damage of struc-372 tures and infrastructures for prioritizing response efforts (van Aardt et al. 373 2011; German et al. 2013; Bevington et al. 2015; Koch et al. 2016; Axel and 374 van Aardt 2017; Cresci et al. 2015; Cervone et al. 2016; Nguyen et al. 2017). 375 Different databases have been established for supporting damage assessment 376 for different structures and hazards, such as xBD for building damage assess-377 ment (Gupta et al. 2019), and HOWAS21 (Kellermann et al. 2020) and FIMA 378 NFIP Redacted Claims Data Set (FEMA 2019) for flood damage assessment. 379 Crowd-sourced information becomes increasing popular in supporting disas-380 ter response. Many volunteer efforts focus on speeding up the data analysis 381 process to rapidly generate maps and provide invaluable crowdsourced infor-382 mation for situation awareness and damage assessment (Barrington et al. 2011; 383 Ghosh et al. 2011; Butler 2013). By harnessing "crowds" of over 1000 experts 384 from 82 countries, for example, the Humanitarian OpenStreetMap Team gen-385 erated devastation maps of the affected areas in the Philippines shortly after 386 typhoon Haiyan, enabling rapid damage assessment and efficient response ef-387 forts (Butler 2013). 388

In disaster rescue and relief, utilizing social media and robotics as well as mobile phone data often support timely and effective decision-making. Social media platforms are powerful communication tools for individuals and local communities to seek help and for governments and organizations to disseminate disaster relief information (Li and Rao 2010; Tatsubori et al. 2012; Takahashi et al. 2015). Social media data embed time and geo-location in-

formation as well as disaster-related information, serving as good information 395 sources for building disaster information systems (Goodchild and Glennon 396 2010; Srivastava et al. 2012; Laylavi et al. 2017). This ultimately supports 397 decision-making for disaster relief and resource allocations (Castellanos et al. 398 2018) and for building disaster information systems (Aydin and Fellows 2018). 399 To analyze social media data, popular AI methods include classifiers, reinforce-400 ment learning, deep reinforcement learning, and other sentiment analysis tech-401 niques. However, there are concerns of using social media data as information 402 sources due to issues of credibility, reliability, and difficulties in verifying infor-403 mation and processing big data into actionable knowledge (Acar and Muraki 404 2011; MacEachren et al. 2011; Tapia et al. 2011). 405

In the aftermath of a disaster, the harsh environment hinders human ef-406 forts of disaster rescue. Disaster robots allows responders and stakeholders to 407 sense and act at a distance from the impacted areas (Murphy 2014). Robots 408 can serve as remote sensing platforms for mapping and interacting with the 409 destroyed environment (Adams et al. 2014; Kochersberger et al. 2014; Stefanov 410 and Evans 2014), fight fires in dangerous conditions (Schneider and Wilder-411 muth 2017; Ando et al. 2018), search and rescue (Murphy and Stover 2007; 412 Murphy et al. 2009; Steimle et al. 2009; Zhang et al. 2014; Bakhshipour et al. 413 2017; Hu et al. 2019), and inspect damage (Devault 2000; Murphy et al. 2011; 414 Torok et al. 2014; Ellenberg et al. 2015; Lattanzi and Miller 2015, 2017). Ma-415 chine learning has been widely used for robotics to acquire new skills and adapt 416 to the surrounding environment (Lenz 2016). For example, deep learning has 417 been applied to visual detection (Socher et al. 2008; Giusti et al. 2015), han-418 dling multiple input data (Ngiam et al. 2011; Noda et al. 2014), and robotic 419 manipulation (Saxena et al. 2008; Gemici and Savena 2014; Lenz 2016). In ad-420 dition, optimization algorithms are often used for dynamic path planing and 421 multi-robot communication and coordination (Liu et al. 2013; Takeda et al. 422 2014). 423

One of the first things people commonly do during a disaster is to contact 424 emergency services (and loved ones). Therefore, telecommunications volume 425 sharply increases, usually following the jump-delay pattern (Bagrow et al. 426 2011). In disaster response, disaster management agencies need to rapidly 427 classify information from such calls and share urgent needs of the public to 428 relevant agencies and utility companies. Machine listening can help to au-429 tomatically recognize voices to identify key words with a high priority and 430 rapidly process voice data from different regions (Ramchurn et al. 2016). With 431 natural language processing algorithms, sentiment mining can help disaster 432 managers perform crisis management and enable efficient disaster relief with 433 better awareness of the situation, such as where to send first responders and 434 distribute resources. Based on the location information of the nearby commu-435 nication network mast, mobile phone data have also been used to estimate 436 population movements and track population displacement in the immediate 437 aftermath of disasters (Gonzalez et al. 2009; Tatem et al. 2009; Bengtsson 438 et al. 2011). Oftentimes, disasters may completely destroy the base stations of 439 the mobile communication network, and so alternative base stations should be 440

rapidly established and allocated to support emergency communication, with
different countermeasures proposed (Suriya and Sumithra 2019; Wang et al.
2019d; Samir et al. 2019).

Information sharing and coordination is often the bottleneck in multi-444 agency response due to the unpredictable and dynamic nature of the dis-445 aster environment (Chen et al. 2008a,b). As the disaster unfolds, the informa-446 tion of the disaster event and its impact, victims, and resources may become 447 outdated with large uncertainty and unpredictability by the time of sharing, 448 making life-and-death decision-making very challenging (Holguín-Veras et al. 449 2012). Disaster information systems with shared access across agencies and 450 organizations can help address these issues, such as collaborative geographic 451 information systems (Sun and Li 2016; Abdalla and Esmall 2018; Li et al. 452 2019c), shared information management platforms (Bunker et al. 2015; Ra-453 souli 2018) and decision tools (Moskowitz et al. 2011). With the shared data, 454 collaborative data analytics can be implemented to learn about the disaster 455 situation and identify relief needs (Tucker et al. 2017). Disaster information 456 systems with automatic data-sharing capacity can help decision-makers from 457 different organizations coordinate response efforts in a timely manner. Such 458 ideas have been implemented in the forms of various prototypes (Bartoli et al. 459 2013; Lin and Liaw 2015; Foresti et al. 2015; Kim et al. 2018a; Hochgraf et al. 460 2018). There are multiple applications for disaster information systems by us-461 ing supervised models and deep learning to extract information from social 462 media data (Neppalli et al. 2018), mobile phone data (Sun and Tan 2019), 463 remote sensing data and aerial images (Morito et al. 2016; Tian and Chen 464 2017b). Example disaster information systems include MADIS (Yang et al. 465 2012), Sahana (Careem et al. 2006), SPIDER (Subik et al. 2010), CrowdHelp 466 (Besaleva and Weaver 2013), and DMCsim (Hashemipour et al. 2017). 467

A disaster causes not only physical damage to structures and infrastructure 468 but also mental damage to people. Different types of feelings will make human 469 focus their attention on very different information and lead to completely dif-470 ferent decisions and actions (Watson and Clark 1994; Greifeneder et al. 2011). 471 Understanding feelings and psychological needs of victims would be helpful for 472 effective disaster relief (Lin et al. 2017b; Li et al. 2019a). AI methods can help 473 in this regard by analyzing social media data to track feelings and reactions 474 of the public. Social media data embed emotional text and images, time and 475 geo-location information, which as useful to identify the spatial and temporal 476 evolution of public behaviors and population mobility, as well as psychological 477 and healthcare needs (Bengtsson et al. 2011; Caragea et al. 2014; Ukkusuri 478 et al. 2014; Wilson et al. 2016; Kuang and Davison 2017). Previous studies 479 show that there are human activity abnormalities in the physical proximity of 480 the disaster event with obvious spatial and temporal disparities (Chae et al. 481 2014; Shelton et al. 2014; Kryvasheyeu et al. 2016; Neppalli et al. 2017; Liu 482 et al. 2019b; Zou et al. 2019). There are many research efforts working on this 483 area (Area 13), such as developing metrics with sentiment analyses to quantify 484 people's reaction/emotion in response to response efforts (Neppalli et al. 2017; 485

<sup>486</sup> Bhavaraju et al. 2019; Singh et al. 2019; Chen et al. 2020).

AI Method	9. Event mapping	10. Damage assessment	11. Disaster rescue and relief, resource allocation	12 Disaster information system and collaboration	13. Understanding people's concern, emotion and reaction
A. Linear regression	NA	NA	Bagloee et al. (2019)	NA	NA
B. Non-linear regression	NA	NA	Liang et al. (2001); Luo et al. (2013); Robinson et al. (2014)	NA	NA
C. Logistic regression	Yang and Cervone (2019)	NA	Zhang et al. (2010); Jia and Zhang (2012); Hung et al. (2016); Reynard and Shirgaokar (2019)	NA	Gopnarayan and Deshpande (2019); Yu et al. (2019)
D. Support vector machine	Moskowitz et al. (2011); Ilyas (2014); Cresci et al. (2015); Ireland et al. (2015); Jiang and Friedland (2016); Yang and Cervone (2019)	Tan et al. (2010); Ashktorab et al. (2014); Izadi et al. (2017); Pogrebnykov and Maldonado (2017); Naito et al. (2018); Zhang et al. (2018a); Seydi and Rastiveis (2019)	Kiatpanont et al. (2016); Basu et al. (2019a); Chaudhuri and Bose (2020)	Maharjan et al. (2018)	Yu et al. (2019); Gopnarayan and Deshpande (2019); Ruz et al. (2020)
E. Naïve Bayes	llyas (2014); Li et al. (2018a)	Imran et al. (2013); Mangalathu et al. (2019)	Kiatpanont et al. (2016); Yoon et al. (2016); Basu et al. (2019a)	Neppalli et al. (2018)	Verma et al. (2011)
F. Decision tree	Bahrepour et al. (2010); Yang and Cervone (2019)	Mangalathu et al. (2019)	Kiatpanont et al. (2016); Berawi et al. (2019)	Barrientos and Sainz (2012)	NA
G. Random forest	Feng et al. (2019); Yang and Cervone (2019)	Conner et al. (2016); Mangalathu et al. (2019); Kellermann et al. (2020)	Acuna et al. (2017)	NA	Ruz et al. (2020)
H. K-nearest neighbor	Kim et al. (2016b); Zhao et al. (2019)	Mangalathu et al. (2019)	Kiatpanont et al. (2016); Liu et al. (2019a)	NA	Gopnarayan and Deshpande (2019)
I. Logistic model tree	NA	NA D	Ahmad et al. (2017)	NA	NA
J. Neural networks	Yu et al. (2005); Kovordányi and Roy (2009); Yang and Cervone (2019)	Bandara et al. (2014); Conner et al. (2016); Rudner et al. (2019)	Bayerlein et al. (2018); Chaudhuri and Bose (2020)	Datt et al. (2015); Tian and Chen (2017b)	NA
K. Hierarchical clustering	Middleton et al. (2014)	Zhou et al. (2017b)	Guha et al. (1998); Kondaveti and Ganz (2009)	Zheng et al. (2011, 2013a); Li et al. (2016b)	Lodree and Davis (2016)
L. K-means clustering	Ganesan et al. (2016)	Atasever (2017); Hou et al. (2017)	ZIDI et al. (2019)	ŇA	NA
M. Fuzzy clustering	Wang et al. (2012); Ganesan et al. (2016)	Tan et al. (2010); Yu and Zhu (2014); Zeng et al. (2018)	Sheu (2007, 2010); Ruan et al. (2016)	NA	NA
N. Principal component analysis	NA	Hutchinson and Chen (2005); Bandara et al. (2014); Zhou et al. (2018b)	Basu et al. (2019b)	NA	NA
O. Hidden Markov models	Salmane et al. (2015)	NA	Suganya and Jayashree (2018)	Qiu et al. (2014)	NA
P. Convolutional neural networks	Kim et al. (2016c); Liu and Wu (2016); Bejiga et al. (2017); Kamilaris and Boldú (2017); Lee et al. (2017); Huang et al. (2019c,b); Ahmad et al. (2019)	Alam et al. (2017); Kamilaris and Boldú (2017); Nguyen et al. (2017); Tian et al. (2018); Vetrivel et al. (2018); Xu et al. (2019a); Zhang et al. (2019a); Cogrebnykov and Maldonado (2017); Seydi and Rastiveis (2019)	Basu et al. (2019a); Hartawan et al. (2019); Robertson et al. (2019); Chaudhuri and Bose (2020)	Neppalli et al. (2018); Kumar et al. (2020)	Yu et al. (2019); Li et al. (2016a)
Q. Recurrent neural networks	Kundu et al. (2018); Mao et al. (2019); Rahnemoonfar et al. (2018)	Nguyen et al. (2019b); Moustapha and Selmic (2007); Verma et al. (2020); Biswas et al. (2019); Pogrebnykov and Maldonado (2017)	NA	Neppalli et al. (2018); Kumar et al. (2020)	Hernandez-Suarez et al. (2019)
R. Deep neural network	Khan et al. (2017); Bai et al. (2018)	Bai et al. (2018)	NA	Morito et al. (2016); Neppalli et al. (2018)	NA
S. Multi-layer perception	NA	Seydi and Rastiveis (2019)	Robertson et al. (2019)	NA	NA
T. Recursive neural network	NA	NA	NA	NA	Dong et al. (2014)
U. Q-learning	NA	Zhao et al. (2017)	Su et al. (2011); Castellanos et al. (2018); Liu et al. (2019a); Hou et al. (2019)	Qiao and Luo (2012); Aydin and Fellows (2018)	NA
V. Policy gradient	NA	Mao et al. (2016); Wang et al. (2019e)	Rodriguez-Ramos et al. (2019); Silver et al. (2014)	NA	NA
W. Deep Q-networks	Baldazo et al. (2019); Maciel-Pearson et al. (2019)	Maciel-Pearson et al. (2019)	Wang et al. (2020a); Yang and Liu (2018); Guo et al. (2019)	Huang et al. (2017); Sun and Tan (2019); Liu et al. (2018)	NA
X. Genetic algorithm	NA	Izadi et al. (2017); Tian et al. (2018)	Pessin et al. (2009); Zhao et al. (2009); Wang (2018); Liu et al. (2019a); ZIDI et al. (2019)	NA	NA
Y. Particle swarm optimization	NA	Xu et al. (2019b)	Pugh and Martinoli (2007); Sánchez-García (2019); ZIDI et al. (2019)	NA	NA
Z. Simulated annealing	NA	NA	Fiedrich et al. (2000); Yadollahnejad et al. (2017); ZIDI et al. (2019)	NA	NA

 Table 3 Example AI applications for disaster response

AI Method	14. Assess impact	15. Develop recovery plan	16. Track recovery	17. Evaluate loss and repair cost
A. Linear regression	McCaslin et al. (2005); Zhang and Peacock (2009); Rosellini et al. (2018)	NA	Zobel (2014); Qiang et al. (2020)	Barthel and Neumayer (2012); Yu et al. (2014); Kim et al. (2016a); Kousky and MichelKerjan (2015)
B. Non-linear regression	Haraoka et al. (2012); Mitsova et al. (2018); Rosellini et al. (2018); Cheng and Zhang (2020)	NA	Zobel (2014); Zhang (2016); Wang et al. (2018b); Jamali et al. (2019); Yabe and Ukkusuri (2019); Qiang et al. (2020)	Smith and Katz (2013); Kim et al. (2015, 2018b)
C. Logistic regression	Tunusluoglu et al. (2007); Nabian and Meidani (2018a); Mitsova et al. (2019)	NA	Gopnarayan and Deshpande (2019)	NA
D. Support vector machine	Gong et al. (2013); Nabian and Meidani (2018a); Moya et al. (2018); Rosellini et al. (2018); Sheykhmousa et al. (2019); Zhang and Burton (2019)	Oh et al. (2006)	Yabe and Ukkusuri (2019); Pogrebnykov and Maldonado (2017); Gopnarayan and Deshpande (2019)	NA
E. Naïve Bayes	NA	NA	Shibuya and Tanaka (2019)	NA
F. Decision tree	Merz et al. (2013); Rosellini et al. (2018)	NA	NA	Stojadinovic et al. (2017)
G. Random forest	Rosellini et al. (2018); Zhang et al. (2018b)	NA	NA	NA
H. K-nearest neighbors	Khaloo et al. (2017); Moya et al. (2018); Nabian and Meidani (2018a)	NA	Gopnarayan and Deshpande (2019)	NA
I. Logistic model tree	ŇA	NA	NA	NA
J. Neural networks	Mehrjoo et al. (2008); Khoshnoudian et al. (2017); Padil et al. (2017)	Asgary and Naini (2011)	NA	Chen and Huang (2006); Aghamohammadi et al (2013)
K. Hierarchical clustering	NA	NA	NA	NA
L. K-means clustering	NA	NA	NA	NA
M. Fuzzy clustering	Yu et al. (2016)	NA	NA	NA
N. Principal component analysis	Yu et al. (2016); Cha and Buyukozturk (2015); Khoshnoudian et al. (2017); Yamaguchi and Shirota (2019)	NA	NA	NA
O. Hidden Markov models	NA	NA	NA	NA
P. Convolutional neural networks	Cha et al. (2017); Liang (2018); Ghaffarian et al. (2019)	NA	Yang et al. (2019c); Pogrebnykov and Maldonado (2017)	NA
Q. Recurrent neural networks	ŇA	NA	Pogrebnykov and Maldonado (2017)	NA
R. Deep neural network	Fallahian et al. (2018)	NA	NA	NA
S. Multi-layer perception	NA	NA	Lin et al. (2008)	NA
T. Recursive neural network	NA	NA	NA	NA
U. Q-learning	NA	Memarzadeh and Pozzi (2019)	NA	NA
V. Policy gradient	NA	NA	NA	NA
W. Deep Q-networks	NA	Joo et al. (2019); Ning et al. (2019); Geng (2019)	NA	NA
X. Genetic algorithm	Alfaiate et al. (2007); Meruane and Heylen (2011); Gomes et al. (2019)	Xu et al. (2007); Bocchini and Frangopol (2012a,b); Tapia and Padgett (2015); Karamlou and Bocchini (2016); Eid and El-adaway (2017a,b); Li and Teo (2018)	NA	NA
	Husses at al. (2010a)	NA	NA	NA
Y. Particle swarm optimization	Huang et al. (2019a)	INA .		

# Table 4 Example AI applications for disaster recovery

#### <sup>487</sup> 3.4 AI Applications in Disaster Recovery

Disaster recovery is a multifaceted process, involving governments and pub-488 lic authorities, as well as private organizations. This requires comprehensive 489 decision-making to quickly understand the complexity of the situation, identify 490 operational needs and recovery plans, and perform rehabilitation and recon-491 struction activities. As disaster recovery usually takes a long time, including 492 precise damage assessment, budgeting, planning, permitting, design and con-493 struction, AI can be an important module for supporting disaster recovery 494 management in less time. AI methods have been applied to disaster recovery 495 management, by assessing the disaster induced impact in detail (Application 496 Area 14), developing recovery plans (Application Area 15), tracking the recov-497 ery process (Application Area 16), and estimating loss and repair cost (Appli-498 *cation Area* 17). The increasing number of publications in recent years, shown 499 in Table 4, indicates increasing attention to applying AI for disaster recovery 500 management. Among them, more attention has been paid to Application Area 501 14 than others (Application Areas 15, 16 and 17). 502

Quick and accurate assessment of the disaster-induced impact is critical for 503 rapid recovery. In addition to physical damage, a disaster causes psychological 504 distress and economic disturbance. When assessing physical damage, visual in-505 spection is a primary method adopted in current practice for buildings (Pham 506 et al. 2014; Choi et al. 2018; Lenjani et al. 2019), bridges (Yeum and Dyke 507 2015), tunnels (Victores et al. 2011), storage tanks (Schempf et al. 1995), etc. 508 However, the visual inspection method is often tedious and labor intensive. 509 AI methods can help eliminate such human efforts based on aerial images, 510 social media imagery data, and sensor measurement data (Khaloo et al. 2017; 511 Khoshnoudian et al. 2017). When assessing the disaster-induced impact on 512 human, sentiment analyses of social media data can track human activity pat-513 tern throughout the recovery (Caragea et al. 2014; Hasan and Ukkusuri 2014; 514 Shelton et al. 2014; Resch et al. 2018; Liu et al. 2019b). When investigat-515 ing psychological distress following a disaster, the use of surveys is a primary 516 method adopted in current practice. Both supervised and unsupervised mod-517 els, particularly regression methods, dimension reduction methods, and neural 518 networks, are often adopted to analyze survey results to identify risk factors 519 and assess the effectiveness of preventive interventions (Gao et al. 2006; Kim 520 et al. 2008; Huang et al. 2010; Gong et al. 2013; Rosellini et al. 2018). In 521 addition, AI methods have been applied to estimate the economic impacts of 522 a hazard, in which supervised models are often used to establish quantitative 523 relations between critical factors and the economy and identify possible stim-524 ulus for economic growth (Zhang and Peacock 2009; Yamaguchi and Shirota 525 2019; Cheng and Zhang 2020; Qiang et al. 2020). 526

After precisely assessing the disaster induced impact, establishing postevent recovery plans is essential for effectively conducting recovery and renewal activities. While pre-event planning allows participation members to spend significant time and resources for fostering cooperative plans, post-event planning is often carried out in a relatively hostile environment with less time

and resources at hand. In current research, optimization techniques are often 532 adopted to identify efficient plans of restoration, or to estimate human deci-533 sions of recovery planning (Sun et al. 2021), including genetic algorithms (Xu 534 et al. 2007; Orabi et al. 2010; Bocchini and Frangopol 2012b; Karamlou and 535 Bocchini 2016), and simulated annealing (Hackl et al. 2018), and other meth-536 ods (Sarkale et al. 2018; Zhong et al. 2018). Additionally, there are few studies 537 applying reinforcement learning and deep reinforcement learning to planning 538 post-event recovery strategies (Joo et al. 2019; Ning et al. 2019). 539

During the recovery process, practitioners need metrics and tools to mea-540 sure and monitor how well a community recovers from a disaster over time 541 as a means of building community resilience (Curtis et al. 2007). Supervised 542 models and deep learning algorithms are often used in this aspect by analyzing data from various sources. As social media data are attached with geotags 544 or hashtags, using sentiment analysis methods and image classification tech-545 niques to analyze social media data can be very helpful for disaster recovery 546 tracking (Eckle et al. 2017; Pogrebnykov and Maldonado 2017; Jamali et al. 547 2019; Malawani et al. 2020; Mihunov et al. 2020). By comparing nighttime 548 light data at different time, established regression relations between economic 549 indicators and spatial variations in light intensity can provide valuable insights 550 about how the regional economy recovers in a quantitative manner (Wang et al. 551 2018b; Qiang et al. 2020). Using Google Street View to remotely track disas-552 ter recovery has also become increasingly popular (Curtis et al. 2010; Mabon 553 2016). 554

In the aftermath of a disaster, governments need to provide timely assis-555 tance to reconstruct homes and rebuild lives; there are urgent demands for a 556 rapid assessment of loss estimate and repair cost (Eguchi et al. 1998; Ladds 557 et al. 2017; Deryugina 2017). AI methods can help estimate disaster losses and 558 repair costs. In particular, supervised models, such as regression and neural 559 network, have been used to rapidly process imagery for detecting structural 560 damage, identifying repair needs, and estimating repair cost; they have also 561 been used to analyze historical dispersion data of disaster recovery funds for 562 budget allocations, and process insurance claims in less time (Chen and Huang 563 2006; Barthel and Neumaver 2012; Zagorecki et al. 2013; Stojadinovic et al. 564 2017). The existence of only a small number of publications in this field indi-565 cates that AI applications to Area 17 is still in its infancy. In current practice, 566 the disaster loss and repair cost are usually estimated based on real data from 567 different sources, such as insurance claims, post-disaster assessment, and as-568 sistance grants and personal loans to victims (Eguchi et al. 1998; Kim et al. 569 2015). The availability of big data and the rapid development of data analyt-570 ics offer an unprecedented opportunity to promote AI applications in rapid 571 estimation of disaster loss and repair cost in the near future. However, the 572 lack of standardized methods for collecting and recording data may lead to 573 very different estimates of economic impacts (Ladds et al. 2017). Therefore, 574 establishing policies and standards for data collection is an urgent need. 575

After a disaster, disaster related rumors and fraud may appear, requiring the awareness and alertness of both disaster victims and governments. Data mining can help to identify potential fraud (Bagde and Chaudhari 2016; Dutta
et al. 2017) and rumors (Mendoza et al. 2010; Liu et al. 2015; Wu et al. 2015;
Zubiaga et al. 2016, 2018), as well as track trends of information flow (Hong
et al. 2011; Badmus 2020). For example, insurance companies and law enforcement agencies can use machine learning to quickly examine the truthfulness

of a claim for a flooded house by making a before-and-after comparison of

high-resolution satellite images (Gilmour 2019).

## 585 4 Practical AI-based Decision Support Tools

583

To ultimately facilitate informed disaster management in practice, many AI-586 based decision support tools have been developed by research institutes and 587 industrial companies in the past few decades. By searching on websites of 588 Google Scholar and Web of Science with keywords of "disaster management", 589 "decision support tool", and "artificial intelligence", we have found related 590 AI-based tools for decision-making in disaster management. Table 5 presents 591 example tools that apply various AI techniques in disaster management. These 592 tools make use of various data as input to extract useful information, including 593 social media data, mobile phone data, sensor measurements, on-site reports 594 from first responders, and crowdsourced information from volunteers. These 595 tools cover different infrastructures and different types of hazards, contributing 596 to the advancement of AI applications to fostering informed disaster manage-597 ment at different phases. A general trend is that there are more tools applicable 598 for the disaster response phase than other phases. Most tools use social me-599 dia data as input; a small portion of tools use sensor measurements, remote 600 sensing data, or mobile phone data as input. 601

Some tools focus on predicting possible consequences under a hazard sce-602 nario for developing management plans of retrofit and evacuation in the dis-603 aster mitigation and preparedness phases. For instance, Optima  $\operatorname{predict}^{TM}$ 604 software simulates and predicts emergent medical service demand and ambu-605 lance availability changes in the wake of a disaster, helping dispatchers and 606 operations personnel find possible optimal ways of preparing for unexpected 607 emergencies (Mason 2013). Other tools provide comprehensive platforms for 608 efficient communications with text, audio, and location services for professional 609 response teams in the disaster response phase, as saving life is typically the 610 most critical issue in the first few days after a disaster and requires commu-611 nication and situational awareness (Yin et al. 2012b). For example, Blueline 612 Grid analyzes real-time mobile phone data for efficient disaster responses. One 613 Concern predicts possible infrastructure damages and consequences based on 614 infrastructure data and historical disaster data. Artificial Intelligence for Dis-615 aster Response (AIDR) automatically classifies crisis-related tweets along with 616 crowdsourced information of aerial images to identify victims' needs and infras-617 tructure damage for efficient disaster response management (Imran et al. 2014; 618 Ofli et al. 2016). SensePlace3 is a geo-visual interface that can visualize time, 619 location, and relationships of events, by applying data mining tools available 620

in Solr to process real-time Twitter data (Tomaszewski et al. 2011; Pezanowski 621 et al. 2018). DeepMob simulates human behavior and mobility during natural 622 disasters by learning from millions of users' GPS records with deep belief net-623 works (Song et al. 2017). GeoQ is an open-source tool for assessing damage by 624 crowdsourcing geo-tagged photos of the disaster-affected areas, developed in 625 coordination with the National Geospatial-Intelligence Agency, the Presiden-626 tial Innovation Fellow Program, the Federal Emergency Management Agency 627 (FEMA), and other analysts. 628

In the meantime, there are some challenging issues of using these AI-based 629 decision support tools in practice. First, these tools typically require large 630 amounts of data as input, and data-related issues are a practical challenge. 631 Input data might be available in different types and formats for different com-632 munities, or available for some communities but not available for others due to 633 various reasons, such as legal ramifications and commercial competitiveness. 634 For example, big cities and urban areas usually have documented data detailed 635 enough and sufficient in size to make AI predictions accurate, which may not 636 be the case for small cities and rural areas. Even if all input data are avail-637 able, some of it may be inaccurate, and there may be data ownership issues 638 involved when using some of these tools. Therefore, policies and regulations 639 need to be established for appropriate data collection, cleaning, protection, 640 and management. Second, communities are exposed to different types of haz-641 ards and have different socioeconomic backgrounds. The AI-based decision 642 support tools that are developed based on data from one community might 643 not be suitable for another community. This naturally poses a challenge to 644 the application generalization of AI-based decision support tools for a diverse 645 set of communities. Third, some tools may require a high level of competence 646 in deployment, making them less user friendly for practitioners. Many tools 647 require advanced software and high performance computers to conduct big 648 data analytics, which may not be available for many local governments and 649 emergency agencies in economically disadvantaged regions. 650

#### 651 5 Discussion

As shown in Tables  $1 \sim 4$ , all AI methods have been applied to disaster man-652 agement. However, there are many untouched application areas by some AI 653 methods. For instance, very few AI methods have been used for disaster train-654 ing systems (Application Area 7); that is probably because there is very little 655 training data of human responses in disasters available to build appropriate 656 AI models for such purposes. Deep neural networks (method R) and recursive 657 neural networks (method T) are rarely applied for disaster preparedness and 658 disaster recovery (Application Areas  $5 \sim 8$  and  $14 \sim 17$ ). Policy gradient-based 659 algorithms have not been applied in disaster mitigation and disaster recovery 660 (Application Areas  $1 \sim 4$  and  $14 \sim 17$ ). The absence of AI applications to 661 untouched areas may attract future research attention for exploration. 662

Example tool	Owner	Input data Mobilo phono data	Hazard	Applicable phase	Website / Reference
Optima Predict $^{TM}$	Intermedix	Mobile phone data, clinical data, and others	General	Mitigation	https://www.r1rcm. com/optima
One Concern	One Concern, Inc.	Public and private infrastructure data-sets	Seismic, flood	Mitigation, and response	https://www. oneconcern.com
The Geospiza Solution	Geospiza Inc.	Data of hazard modeling, community, and live event	General	Mitigation, and response	https://geospiza.us/ solution
TweetTracker	Arizona State University	Tweet	General	Preparedness, and response	http://tweettracker. fulton.asu.edu/
EARS	National Research Council, Italy	Twitter	Earthquake	Preparedness	Avvenuti et al. (2014)
EAIMS	University of Glasgow	Twitter	General	Preparedness	McCreadie et al. (2016)
Ground Truth	Sandia National Laboratories	Human decision input via video games	General	Preparedness	Djordjevich et al. (2008)
Argus	Rutgers University	Smartphone data	General	Preparedness, and response	Sadhu et al. (2017)
CrisisMappers	Crisis Mappers Net	Social media data	General	Preparedness, and response	https: //crisismapping. ning.com/
Dataminr	Dataminr	Social media data	General	Preparedness, and response	https://www. dataminr.com/
Disaster Management Coordination simulation (DMCsim) system	George Washington University	Infrastructure data, GIS data, and organization capabilities	General	Preparedness, and response	Hashemipour et al. (2017)
Artificial Intelligence for Digital Response (AIDR)	Qatar Computing Research Institute	Tweets	General	Response	http://aidr.qcri.org
Blueline Grid	WorldAware, Inc	Mobile phone calls	General	Response	https://www. bluelinegrid.com
Blueworx	Blueworx	Emergency calls	General	Response	https://www. blueworx.com
CRED	Stanford University	Seismogram data	Earthquake	Response	Mousavi et al. (2019)
DeepMob	Multi- government- industry collaborations	Disaster data, human mobility data, earthquake records, transportation network data	Earthquake	Response	Song et al. (2017)
ESA	Information Engineering Laboratory	Information management system	General	Response	Yin et al. (2012a)
HAC-ER	University of Southampton, University of Nottingham, and University of Oxford	Social media data and first responder reports	General	Response	Ramchurn et al. (2015, 2016)
SensePlace3	Pennsylvania State University	Tweets	General	Response	Pezanowski et al. (2018)
Sahana	Sahana Foundation	Information management system	General	Response	Careem et al. (2006)
Disaster Intelligence product	Disaster Intelligence	Images, data of hazard, infrastructure, and community	General	Mitigation, preparedness, response, and recovery	https://www. disaster-ai.com
Disaster City Digital Twin	Texas A&M University	Remote sensing data and crowd-sourced data	General	Mitigation, preparedness, response, and recovery	Fan et al. (2019)
Disaster Reporter	Federal Emergency Management Agency	Photos and descriptive text	General	Response, and recovery	https://www.fema. gov/disaster-reporter
FIU-Miner	Florida International University	Geospatial data	General	Preparedness, response, and recovery	Zheng et al. (2013a); Li et al. (2017a,b)
GeoQ	National Geospatial- Intelligence Agency	Geo-tagged photos	General	Response, and recovery	https://github.com/ ngageoint
Tweet Earthquake Dispatch	United States Geological Survey	Tweets	Earthquake	Response, and recovery	https://github.com/ usgs/earthquake-ted
Tractable	Tractable	Images	Flood, fire, hurricane	Recovery	https://tractable.ai

 ${\bf Table \ 5} \ \ {\rm AI-based \ decision \ support \ tools \ for \ disaster \ management}$ 

Many challenges of practical AI applications to disaster management are 663 due to data-related issues, such accessibility, completeness, security, privacy, 664 and ethical issues (Boyd and Crawford 2012; Crawford and Finn 2015). Mak-665 ing accurate predictions with AI techniques typically requires a large amount 666 of good data for building the model. Such data is not always available. For 667 example, some infrastructure data cannot be easily accessible due to reasons 668 of national security and commercial competitiveness. Data trustworthiness 669 is another issue. For instance, raw data from social networks often contain 670 various inaccuracies and biases, requiring advanced information filtering and 671 verification. One step further, collecting and analyzing personal data poses 672 significant issues related to fairness, responsibility, and human rights. Even if 673 the required data are available, data incompleteness is a common problem in 674 disaster-related data analyses due to the dynamically changing environment 675 of a disaster. To deal with the aforementioned issues, there have been various 676 platforms and databases built to collect and share disaster-related data in a rel-677 atively standardized form. Some examples include ShakeMap and ShakeCast 678 (USGS 2016b,a), GeoPlatform (GeoPlatform 2016), I-WASTE (EPA 2016), 679 Lantern Live (DOE 2014), and Disaster Response Program (ESRI 2016), De-680 signSafe (NHERI 2019), xBD (Gupta et al. 2019), etc. 681

There are three computation-related challenging issues. First, there may 682 not be enough human labelled training data in time considering the increas-683 ing amount of data and the limited amount of manpower in the wake of a 684 disaster (Pouyanfar et al. 2018). In this regard, applying and improving un-685 supervised learning approaches may be the way out for handling real-world 686 data without manual human labels (Ranzato et al. 2013). Second, the compu-687 tational complexity sharply increases with the size, variety, and update rate 688 of data, which challenges the capacity of processing, managing, and learning 689 data within a reasonable response time in the disaster scenario. Efficiently 690 managing, storing, and processing big data is essential for disaster manage-691 ment, particularly disaster response. Using cloud platforms to efficiently query 692 and store big data is helpful to address this challenge. Developing more effi-693 cient AI methods would naturally be helpful. There have been efforts made to 694 address this challenge, including reservoir computing (Tanaka et al. 2019) and 695 using GPUs and AI accelerators (Wang et al. 2019f). Using crowd-sourcing 696 with real-time AI analyses can help to complete the necessary computation 697 within the time limit and eliminate the amount of necessary but tedious work 698 that traditionally needs effort on-site (Bevington et al. 2015). Third, building 699 user-friendly tools for disaster management is essential for practitioners. This 700 means building AI-based tools with interfaces that require minimal technical 701 expertise for practical use. 702

Analysis results from AI models should be explainable and repeatable for supporting practical disaster management. To address this issue, there have been research efforts made to improve the interpretability and explainability of AI models, such as explainable artificial intelligence (Arrieta et al. 2020; Gunning et al. 2019). On the other hand, as AI solutions are developed for disaster management, we recognize that there are often challenges in reproducibility of

new results. For disaster related data, the non-reproducibility issue is a par-709 ticular challenge, because disasters happen irregularly with various impacts 710 in different regions (Wang et al. 2016). Replication of experimental results is 711 essential for trustworthy advancement in science generally and for AI mod-712 els specifically. To address this issue, there have been research efforts such as 713 IBM's AI OpenScale and OpenML (Vanschoren et al. 2014; Rossi 2019; Yang 714 et al. 2019a). These efforts work toward making AI transparent and trust-715 worthy by capturing the processes, data, and parameters for experiments to 716 become repeatable. 717

## 718 6 Concluding Remarks

This study focuses on AI applications in assisting in efficient disaster man-719 agement during four disaster management phases: mitigation, preparedness, 720 response, and recovery. In particular, this study reviews applications of a total 721 of 26 AI methods in 17 Application Areas in disaster management in all four 722 phases. Both research and practice show that analysis results from AI models 723 are very useful for supporting disaster management. In the current stage, the 724 general trend is that most applications focus on disaster response, followed by 725 disaster mitigation. 726

AI is better than humans in terms of data analysis speed and thus the 727 volume of analyzable data. It can make acceptable forecasts when the scope 728 is within the range of the training data, but predictions when the scope is 729 beyond the range may be unacceptable. This is especially true as both the 730 hazard and the society are constantly evolving, which might fundamentally 731 change the utility of attributes used to train the original model. Even if AI 732 algorithms can make reasonably good predictions with the available data, a 733 further concern is whether we should completely rely on the predictions and 734 suggestions from AI algorithms to deploy resources and develop disaster plans. 735 This question has no simple answer. 736

For practical AI applications in disaster management, there are a number 737 of challenging issues related to data and computation, as well as inseparability 738 and replicability of analysis results. This study also identifies many untouched 739 application areas of different AI methods. How to develop more powerful and 740 cost-effective AI-based tools to support decision-making in practical disaster 741 management with improved analysis accuracy and speed is an urgent problem 742 for the research community. Despite these challenges and untouched areas, 743 AI methods provide numerous opportunities and easy solutions for various 744 successful applications in disaster management. By discussing the application 745 status of AI methods in disaster management, this study aims to inspire fu-746 ture research to tackle the identified challenging issues and advance disaster 747 management with AI for improving community disaster resilience. 748

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