

# Lalvalle Ch2: Discrete Planning

1/19/18

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For simplicity assume all models are completely known & predictable, and the number of possible states is finite.

## 2.1.1 Problem Formulation

$x$  = state of robot

$\mathcal{X}$  = state space, set of all  $x$ .

$u$  = action, e.g., move fwd 1m, apply 1Nm to joint 1.

$\mathcal{U}$  = action space =  $\bigcup_{x \in \mathcal{X}} \mathcal{U}(x)$

$\mathcal{U}(x)$  = action space when in state  $x$ .

$x' = f(x, u)$  = state transition function

↑  
↑  
current action  
current state

Note  $x' \in \mathcal{X}$ .

new state obtained as a result of action  $u$ .

i.e.  $x' \in \mathcal{X}$

# Example

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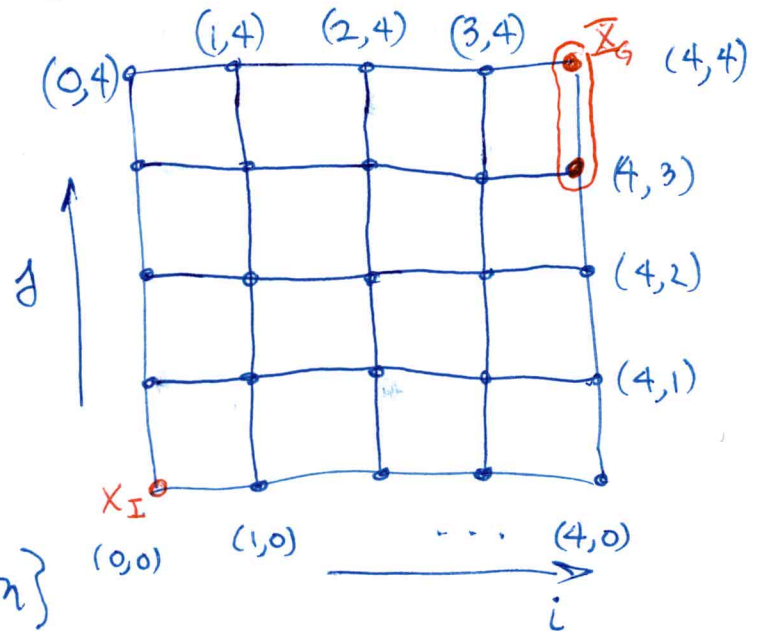
$$X = \{0, 1, 2, 3, 4\} \times \{0, 1, 2, 3, 4\}$$

Cartesian product

$$x = (i, j);$$

$$i, j \in \{0, 1, 2, 3, 4\}$$

$$U = \{\text{right, up, left, down}\}$$



$$U((0,0)) = \{\text{right, up}\}.$$

other bndry nodes are constrained too.

State transition function

$$f(x, u) = \underbrace{\begin{bmatrix} i \\ j \end{bmatrix}}_x + \left\{ \begin{array}{c} R \\ \begin{bmatrix} 1 \\ 0 \end{bmatrix}, \\ U \\ \begin{bmatrix} 0 \\ 1 \end{bmatrix}, \\ L \\ \begin{bmatrix} -1 \\ 0 \end{bmatrix}, \\ D \\ \begin{bmatrix} 0 \\ -1 \end{bmatrix} \end{array} \right\}$$

choose one  
to predict outcome  
of action

Task definition

$$X_I = (0, 0)$$

$$X_G = \{(4, 4), (4, 3)\}$$

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# Possible Solution Algorithms

Assume no obstacles

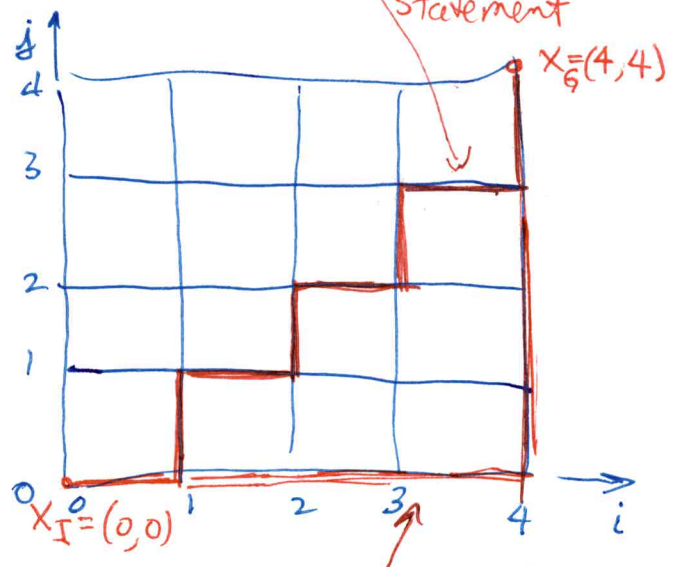
Alg 1

1. If  $i < 4$ , choose  $u = \begin{bmatrix} 1 \\ 0 \end{bmatrix}$  ← move right
2. If  $j < 4$ , choose  $u = \begin{bmatrix} 0 \\ 1 \end{bmatrix}$  ← move up.

More precisely

```
while (i, j) ≠ XG = (4, 4)
  if i < 4, move right, break
  if j < 4, move up
```

stair  
step soln  
w/o break  
statement



with break  
statement.

Why so easy to solve?

- State space small
- State space structure known exactly
- State space simple structure.

Even a random walk alg will work

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Alg 2

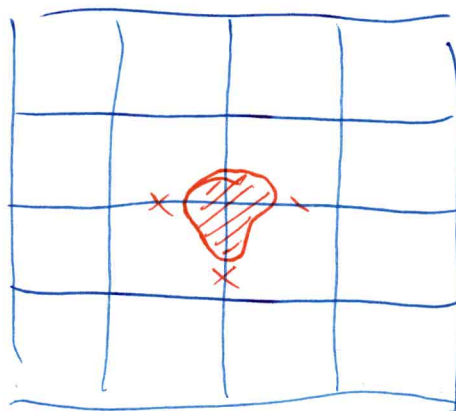
```
while  $x \neq x_g$ 
  if  $i < 4 \ \&\& \ j < 4$ , choose  $u = \text{up or right at random}$ 
  else if  $i < 4$ , choose  $u = \text{right}$ 
  else choose  $u = \text{up}$ .
```

---

What if we change structure of  $X$ ?

Suppose ~~some~~ some arcs are missing  
and robot doesn't know it?!

If soln exists, Alg 1  $\neq$  Alg 2  
will fail sometimes



$\therefore$  Algs 1  $\neq$  2 are not  
complete  $\&$   $\therefore$  not desirable.

Alg 3 (next page) always succeeds (eventually),  
if a path exists.

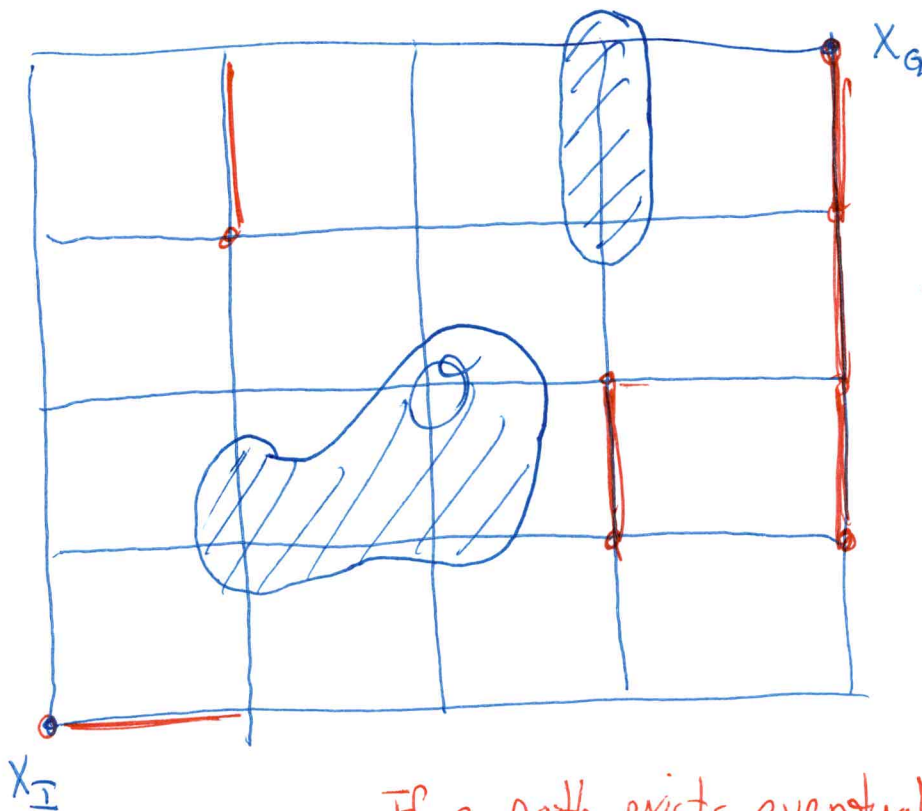
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Alg 3

1. while path not found
2.     choose node at random
3.     connect to neighbor if possible
4.     if  $x_I$  and  $x_G$  in same component  
          of  $G$ , return solution
5.     else, return to 1

$G$  - graph.



$G$  has  
multiple  
components.

If a path exists eventually  
one is found.

Note: Sampling must be uniform to ensure visiting  
all nodes eventually for this to work!



## 2.2: Searching for Feasible Plans

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Robot planning algs are just graph search algs.

Key distinction - graph is not fully specified in advance, because that is too costly.

We want complete algorithms.

How do we get them?

Completeness is guaranteed if search is systematic

Systematic - as time  $\rightarrow \infty$  every node will be explored and every action at each node will be tested. AND no redundant exploration (to avoid infinite loops).

To be most useful algs must run in finite time.

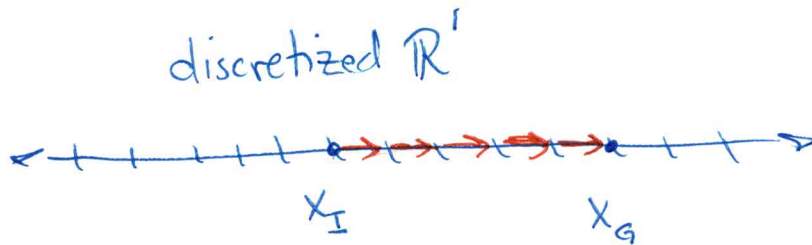
$\therefore$  It is common to use finite representations of infinite spaces.

Note: If graph is countably infinite, we require systematic to mean soln found in finite time, but alg will run forever if soln does not exist.

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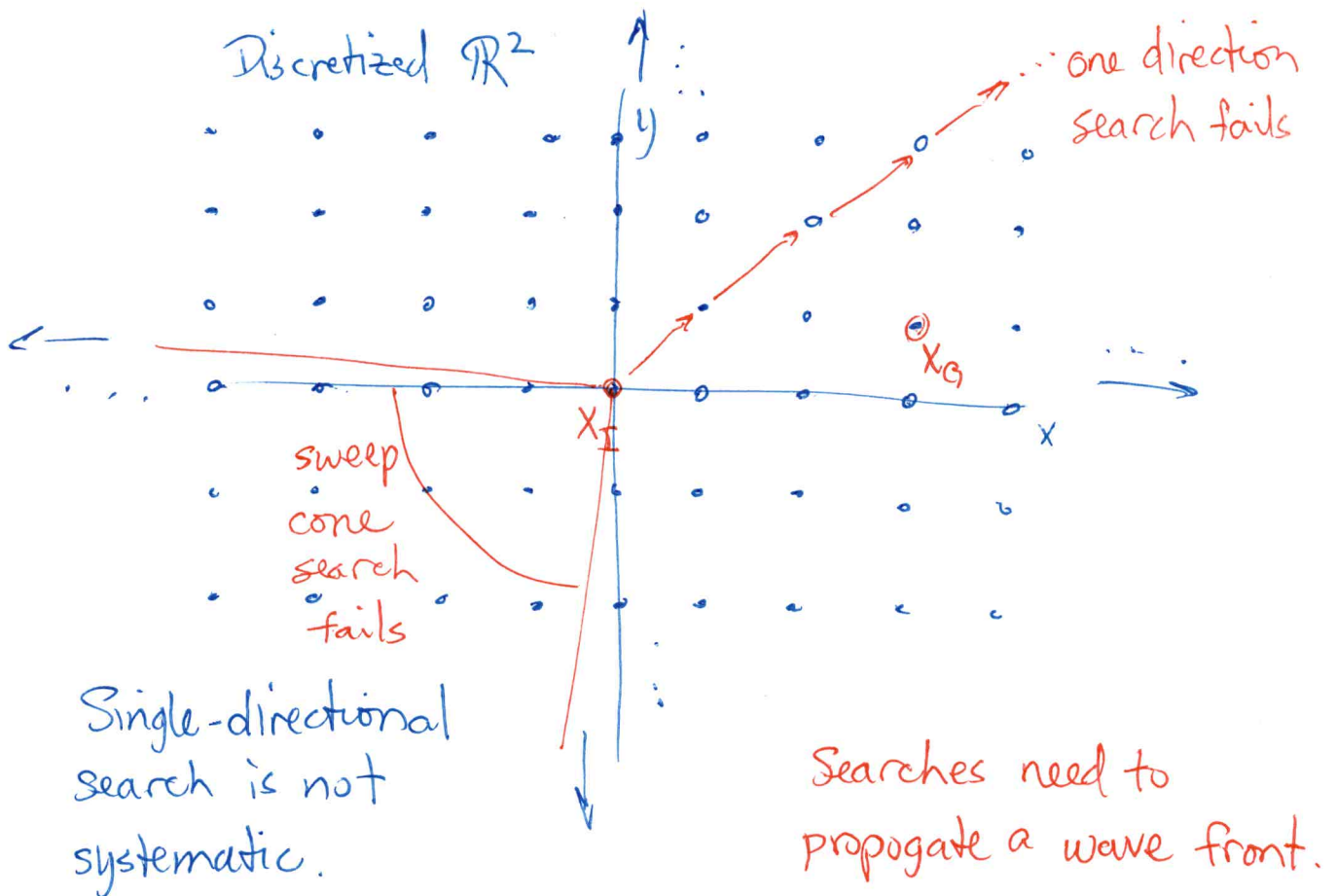
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Example of countably infinite graph.



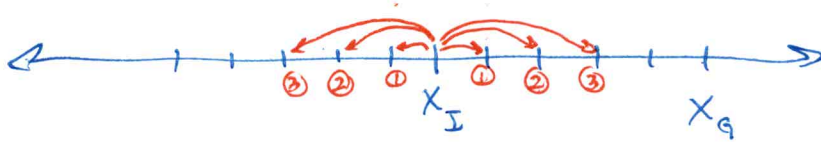
If exploration is in one direction only,  
it is not systematic.

$x_G$  to the left of  $x_I$  will never  
be found.



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# 1D wave front search



If a solution exists, it will be found in a finite # of steps.

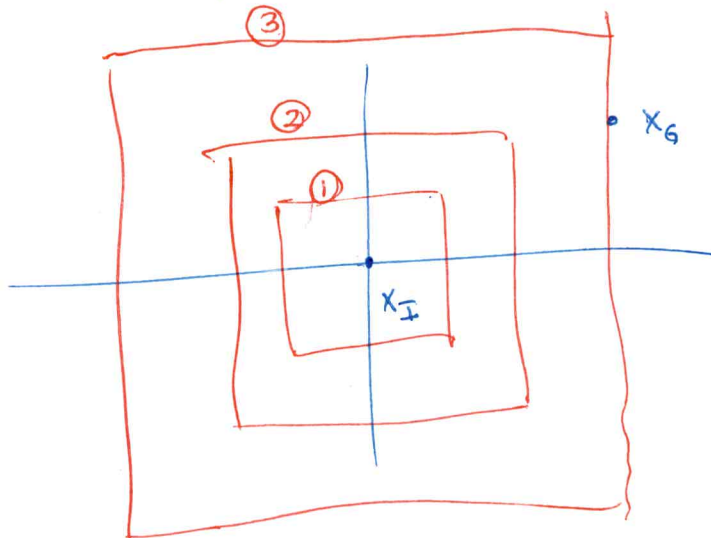
Suppose  $X_I$  is at  $x=0$ . and  $X_G$  is at  $|x|=N$ .

$X_G$  will be found in  $2N$  steps

$\left. \begin{matrix} \text{soln} \\ \text{exists} \end{matrix} \right\} \rightarrow$  \* If  $N < \infty$ , alg terminates w/ soln in finite time (assuming each expansion requires finite time).

~~If  $N < \infty$~~

# 2D wave front



If solution exists, one will be found in finite time.



## 2.2.1: General Fwd Search

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We need 3 kinds of states (nodes):

- 1.) Unvisited: Initially all states except  $x_1$ .
- 2.) Dead: States that have been visited and for which every possible next state has also been visited. A next state  ~~$x$~~  of  $x$  is  $x'$  for which  $u \in U(x)$  exists such that  $x' = f(x, u)$ .  
All available info about solution has already been extracted.
- 3.) Alive: Visited states for which not all possible next states have been visited.

Dead states stored in list,  $D$ .

Alive states stored in priority queue,  $Q$ .

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## Basic search (on graph) Algorithms

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Let  $D$  be a list of explored nodes

Let  $Q$  be a priority queue, with first element being the most "promising."

```
D.init() % Make empty list
```

```
Q.insert( $x_I$ ) & mark  $x_I$  as "visited"
```

```
while Q is not empty
```

```
   $x \leftarrow Q.getFirst()$ 
```

```
  if  $x \in X_g$ 
```

```
    extract plan & return success
```

```
  for all  $u \in U(x)$ 
```

```
     $x' = f(x, u)$ 
```

```
    if  $x'$  not visited
```

```
      mark  $x'$  as visited
```

```
      Q.insert( $x'$ )
```

```
    else
```

```
      resolve duplicate path to  $x'$ 
```

```
  Q.remove( $x$ )
```

```
  D.insert( $x$ )
```

```
return
```

```
return failure
```

LaValle figure 2.4

(with addition of  $D$ )

(good hashing scheme or clever data structure helps)

must compare  $x'$  w/ all  $x \in D \cup x \in Q$ . could be time consuming

set back pointer from  $x'$  to  $x$  & remember  $u$ .

update back ptr if path would be better.

Alg is in Fig 2.4 LaValle

Some forward search algorithms

Breadth-first search ← Breadth-First is systematic!

Q is First-In, First Out (FIFO)

Search progression

$D = \{ \}$   
 $Q = \{ 0 \}$   


---

 $x = 0$   
 $Q = \{ 0, 1, 5 \}$   
 $D = \{ 0 \}$   


---

 $x = 1$   
 $Q = \{ 1, 5, 2, 6 \}$   
 $D = \{ 0, 1 \}$   


---

 $x = 5$   
 $Q = \{ 5, 2, 6, 10 \}$   
 $D = \{ 0, 1, 5 \}$   


---

 $x = 14$   
 $Q = \{ 14, 18, 22, 19 \}$   
 $D = \{ \dots, 14 \}$

Q after 3 iters

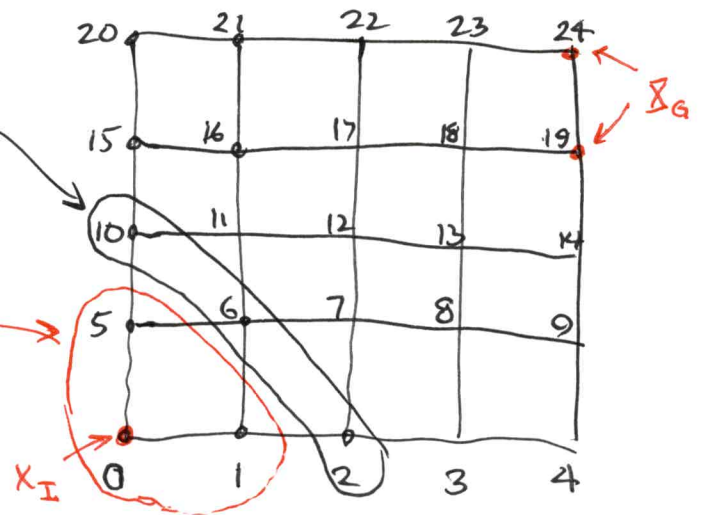
D after 3 iters

← don't insert 0, since  $0 \in D$

← 6 inserted twice.  
In breadth-first, nothing to resolve for duplicate path just keep 1 copy

D after 20 iterations

Q after 20 iterations

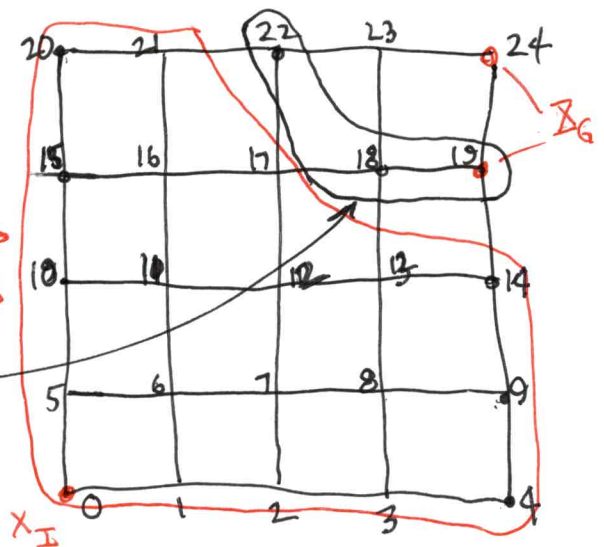


$x_I = \{ 0 \}$

$x_G = \{ 19, 24 \}$

$U = \{ \text{right, up, left, down} \}$

constraint: can't leave graph



Note: 18 & 22 will be processed before

soln returned even though  $19 \in x_G$

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Worst-case running time

Let  $E$  &  $V$  denote the sets of edges & vertices.

Assume that basic operations can be checked in const. time.

Then running time is  $\mathcal{O}(|V| + |E|)$

where  $|\cdot|$  is cardinality of a set

$|V| = \#$  distinct states

$|E| = \mathcal{O}(|U| \cdot |V|)$

---

In planar problems,

$|V| = \text{~~res~~ } n_x \cdot n_y = \#$  of distinct states

where  $n_x$  is  $\#$  of discretized values of x-coord.

$n_y$  " " " " " " y-coord.

In problems with more dof.,  $|V|$  grows exponentially with the number of dof.

e.g. 7-jointed arm on quadrotor or ground vehicle

$\#$  of states,  $|V| = n_{\theta_1} \cdot n_{\theta_2} \cdot n_{\theta_3} \cdot n_{\theta_4} \cdot n_{\theta_5} \cdot n_{\theta_6} \cdot n_{\theta_7} \cdot n_x \cdot \dots$

$\dots \cdot n_y \cdot n_z \cdot n_\alpha \cdot n_\beta \cdot n_\gamma$

If only  $\bullet$  100 distinct values per dof assumed,

then we have  $10^{26}$  states!

This complexity motivate cell decomposition & sampling-based methods.



Depth-First Search ← systematic if  $|X| < \infty$  10/16/17  
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Q is Last In, First Out (LIFO).

Everything else works the same way.

$D = \{ \}$   
 $Q = \{ 0 \}$

$x = 0$

$Q = \{ 0, 1, 5 \}$

$D = \{ 0 \}$

$x = 5$

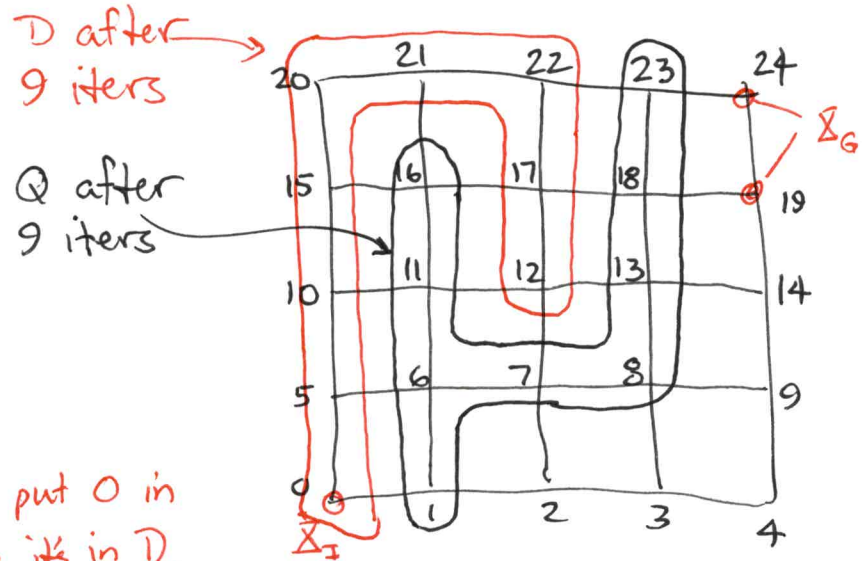
$Q = \{ 1, 5, 6, 10 \}$  ← don't put 0 in since it's in D.

$D = \{ 0, 5 \}$

$x = 10$

$Q = \{ 1, 6, 10, 11, 15 \}$

$D = \{ 0, 5, 10 \}$



Solution is found in 16 iterations.

Worst-case running time is  $O(|V| + |E|)$

If grid were infinite, search would go in preferred direction & never return to  $x_1$ .

What path is returned? Could be D!



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# Dijkstra's Algorithm

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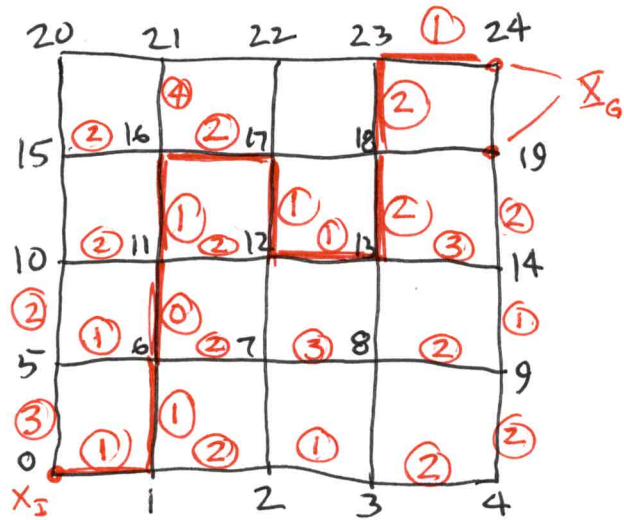
Good for finding optimal paths

Let  $l(e)$  denote "cost" to traverse edge  $e$ ,  $e \in E$ .

$$l(x,u) = l(e) \geq 0$$

Associate a cost with each link.

40 ~~links~~ links for this simple graph



Let  $C(x)$  = cost of path

from  $x_I$  to  $x$ , a.k.a. cost-to-~~come~~

$C^*(x)$  = ~~minimum~~ optimal cost of path from  $x_I$  to  $x$ .

$Q$  is sorted on  $C(x')$

where  $C(x') = C^*(x) + l(e)$

Note: If you want to allow negative weights, then use the Bellman-Ford algorithm. (B-F can identify negative cycles.)

Important: once  $x \in D$ ,  $C^*(x)$  has been computed!

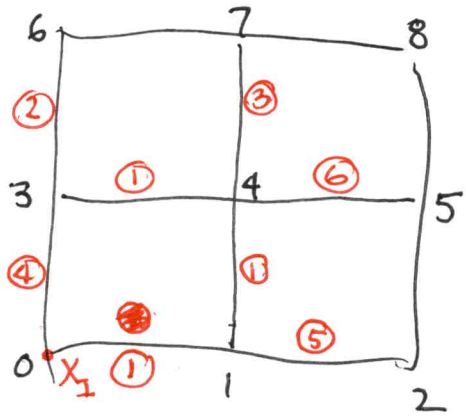
Resolve duplicate path to  $x'$

$D = \{\}$   
 $Q = \{0\}$   


---

 $x = 0$   
 $Q = \{0, 1, 3\}$   
 $D = \{0\}$

Initial condition  
 $C^*(0) = 0$



$C(1) = 1, \rightarrow 0$   
 $C(3) = 4, \rightarrow 0$

$x = 1$   
 $Q = \{1, 3, 2, 4, \cancel{0}\}$   
 $D = \{0, 1\}$

~~$C(2) = 6, \rightarrow 1$~~

$C(4) = 2, \rightarrow 1$

$C(2) = 6, \rightarrow 1$   
 ~~$C(3) = 4$~~

$C(0) = 2$

but  $C^*(0) = 0$  is given, so ignore this path. Also,  $0 \in D$ , so don't consider

$x = 4$   
 $Q = \{\cancel{3}, 2, 4, \cancel{5}, 7, \cancel{3}, *\}$   
 $D = \{0, 1, 4\}$

$C(3) = 4$

~~$C(3) = 3, \rightarrow 4$~~   
 ~~$C(2) = 6, \rightarrow 1$~~   
 $C(3) = 3, \rightarrow 4$   
 $C(5) = 8, \rightarrow 4$   
 $C(7) = 5, \rightarrow 4$

$\therefore$  keep  $C(3) = 3$

(not in  $D$  yet)

update ptr & cost, since smaller cost found

$x = 3$   
 $Q = \{\cancel{3}, 2, 5, 7, \cancel{6}, \cancel{3}\}$   
 $D = \{0, 1, 4, 3\}$  (with arrows pointing to 3 and 4 in D)

~~$C(2) = 6$~~   
 ~~$C(5) = 8$~~   
 $C(6) = 5$   
 ~~$C(7) = 5$~~

$0, 4 \in D$  so don't mess with them.

Optimal planning isn't free!

worst-case running time is  $O(|V| \lg |V| + |E|)$

( $Q$  must be implemented as a Fibonacci heap.)

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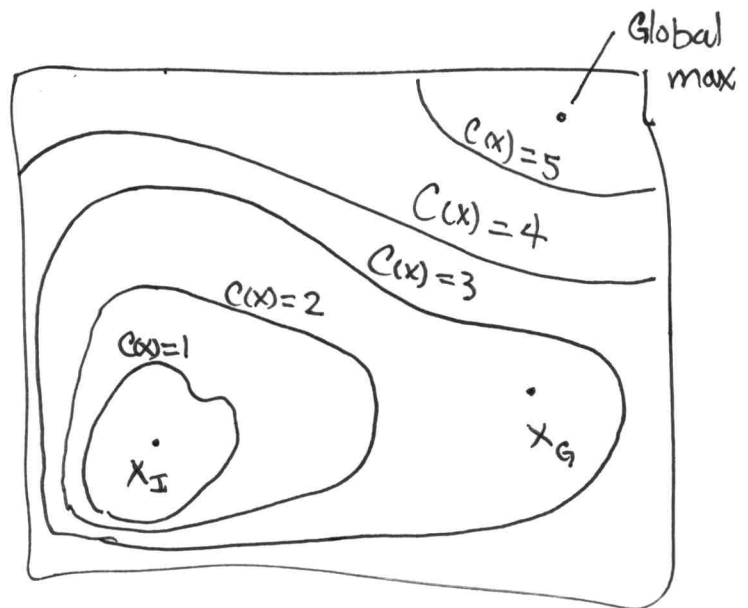
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Dijkstra's Alg is greedy w.r.t. cost.

It explores cheapest paths first.

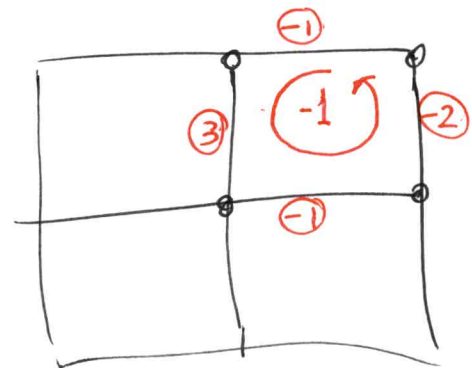
Topo map analogy

It will explore inside each iso-cost line before "spilling over" to the next region.



Aside: Bellman-Ford

Worst-case complexity of B-F is  $O(|E| \cdot |V|)$



If there are no negative weights, B-F gives same results as Dijkstra, but less efficiently.

Dijkstra is  $O(|E| + |V| \log |V|)$

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A\* Algorithm

A\* attempts to reduce # of states visited by using an optimistic cost-to-go ~~heur~~ heuristic.

Let  $G(x) = \text{cost-to-go}$

$G^*(x) = \text{optimal cost-to-go}$

(can't know this in advance)

$\hat{G}^*(x) = \text{underestimate of optimal cost to go}$  ← required to guarantee path optimality

Only change to above algorithms is that  $Q$  is sorted on  $C^*(x) + l(x, u) + \hat{G}^*(x')$

Worst heuristic is  $\hat{G}^*(x') = 0$ . Then  $A^* = \text{Dijkstra}$

Perfect heuristic is  $\hat{G}^*(x') = G^*(x')$ . Then  $A^*$  is depth-first "straight" to  $x_G$

Example:

Walking in NYC.

Let cost be path length.

$\hat{G}^*(x) = \text{Euclidean distance between current location \& goal.}$



## Best-First Search

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Sort priority queue on  $\hat{G}^*(x)$ .

$\hat{G}^*(x)$  does not need to be an under-estimate.

Paths found are not necessarily optimal.

## Iterative Deepening

Make depth-first search systematic.

Good candidate on graphs with high branching factors

Idea:

Use depth-first ~~is~~ limited to  $i$  steps away from  $x_I$ .

If  $x_G$  not found, throw away old work and start from scratch limiting "depth" to  $i+1$  steps from  $x_I$ .

Once alg has committed to depth  $\neq 1$ , work lost is negligible.

```
set depth to 1
while  $x_G$  not found
  set limit to  $i$ 
  Constrained DF search
  if  $x_G$  found
    extract path
  else
    depth += 1
```



## 2.2.3: Backward search

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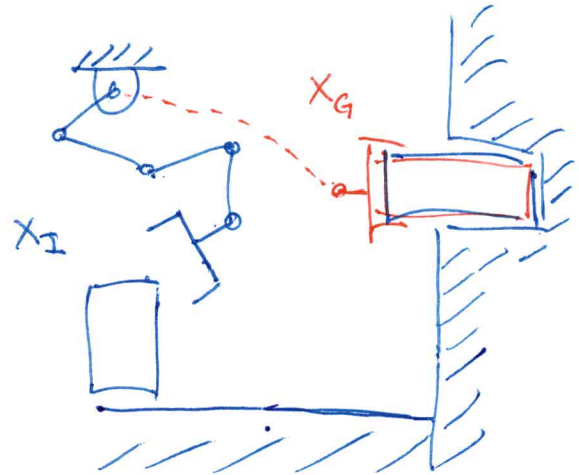
(14)

If branching factor is high near  $x_I$   
and low near  $x_G$ , then  
it may be more efficient to search from  $x_G \rightarrow x_I$ .

Example:

Only one direction  
to move from  $x_G$ .

Many from  $x_I$ .



We have this already

Fwd Search

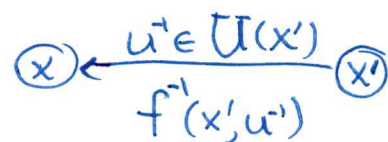
$$x' = f(x, u)$$



We want something like this

Bkwd Search

$$x = f^{-1}(x', u^{-1})$$



~~Note:  $f^{-1}$  is not an inverse  
function in the usual  
mathematical sense!~~

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Let  $U^{-1} = \{(x, u) \in \mathbb{X} \times \mathbb{U} \mid x \in \mathbb{X}, u \in \mathbb{U}(x)\}$  (15)

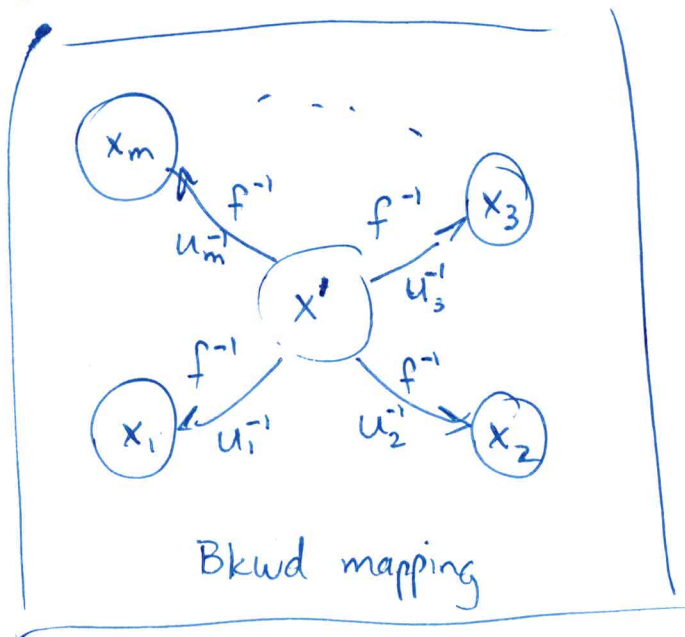
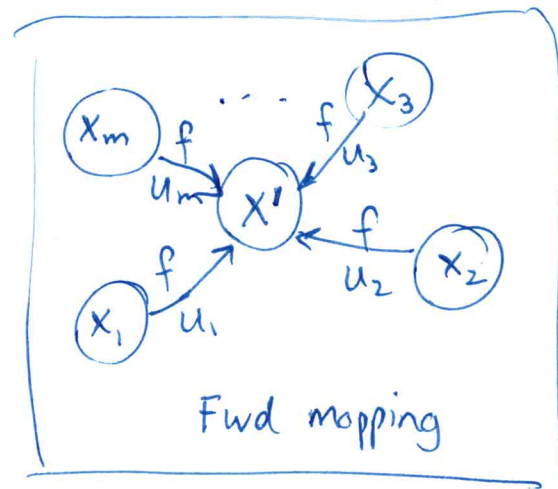
↑ all state-action pairs, which can be considered the domain of  $f$ .

Let  $U^{-1}(x') = \{(x, u) \in U^{-1} \mid x' = f(x, u)\}$  ← backward action space

Denote  $(x, u) \in U^{-1}$  as  $u^{-1} \in U^{-1}$

Let  $f^{-1}$  denote the backward state transition function

$x = f^{-1}(x', u^{-1})$



If  $f^{-1}$  is defined all fwd search algs can be run bkwd w/o changes!

## Adapting Ford Search Alg

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swap  $x_I \neq x_G$

swap  $x \neq x'$

replace  $u$  with  $u^{-1}$

replace  $f$  with  $f^{-1}$

replace  $U$  with  $U^{-1}$

initialize  $Q$  with all  $x_G \in X_G$   
rather than  $x_I$

see Alg in

Figure 2.6  
LaValle

# Bidirectional search

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$Q_I.insert(x_I)$ , mark  $x_I$  as visited

$Q_G.insert(x_G)$ , mark  $x_G$  as visited

→ while  $Q_I$  not empty AND  $Q_G$  not empty

FWD

if  $Q_I$  not empty

$x \leftarrow Q_I.GetFirst()$

if  $x \in Q_G$

return success

for all  $u \in U(x)$

usual processing from fwd search

if  $Q_G$  not empty

$x' \leftarrow Q_G.GetFirst$

if  $x' \in Q_I$

return success

for all  $u' \in U^{-1}(x')$

usual processing, but reversed

BKWD

Return FAILURE

## General Steps of Search in Robotics

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(18)

① Initialize graph  $G(V, E)$ .

$V = \{x_I\}$  or  $\{x_G\}$  or  $\{x_I, x_G\}$  or more!

$E = \emptyset$  (empty)

There can be more than 2 trees

② Select vertex for expansion

③ Apply actions to find new states (or to connect to existing states)

④ Insert edges into trees

⑤ Check for solution

Can be expensive if 2 or more trees!

⑥ Return to step ②.

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### 2.3 Discrete optimal planning

Skip for now. Return if necessary.



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# Complexity reduction by representation (and modeling and control).

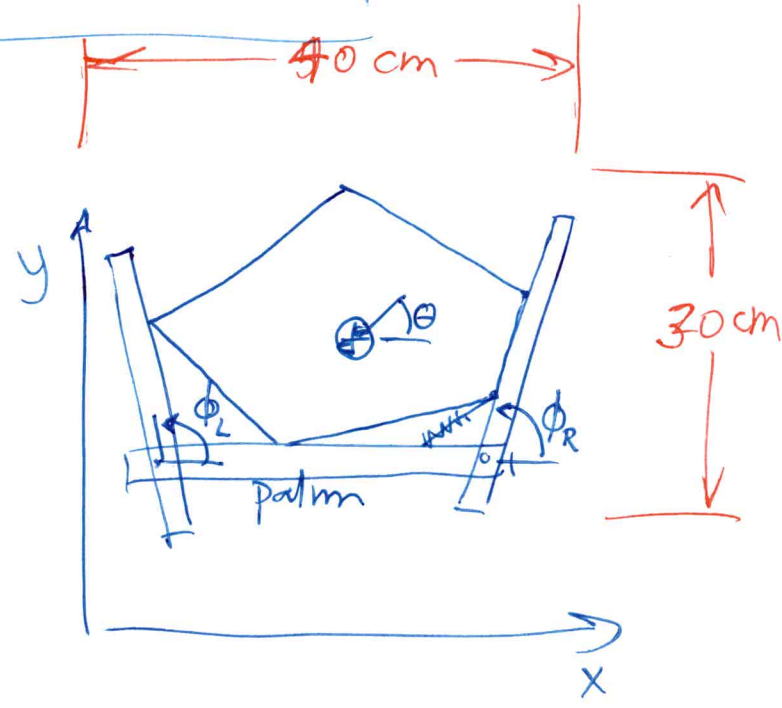
(10)

Show in-hand manipulation video

$x_I =$  initial grasp  
 $(x, y, \theta, \phi_L, \phi_R)_{\bullet I}$

$x_G =$  goal grasp  
 $(x, y, \theta, \phi_L, \phi_R)_G$

$\underline{X} = \mathbb{R}^2 \times S^3$   
5 dimensional



Discretize state space accurately, because contact vs no contact make a big difference in outcome of actions.

$$U = \{ \phi_{L, \min} \leq \phi_L \leq \phi_{L, \max}, \phi_{R, \min} \leq \phi_R \leq \phi_{R, \max} \} ?$$

$\approx 1 \text{ Radian}$        $\approx 3 \text{ Radians}$        $\approx 0 \text{ Rad}$        $\approx 2 \text{ Rad}$

How will we represent a plan?

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Perhaps  $\phi_L(t), \phi_R(t)$   $0 \leq t \leq T$

Should these be continuous functions?

Could the controller execute them accurately?

Should we discretize state ~~including~~ including  $\phi_L \neq \phi_R$ ?

Say 0.01 R for angles  
0.1 cm for transl

Size of state space

$$400 \times 300 \times 628 \times 200 \times 200 = 3.0144 \times 10^{12}$$

HUGE

(Minimum reasonable branching factor is 10 (2\* #DoF)).

Suppose each number is double precision - 8 bytes

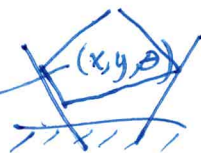
$\therefore$  The full graph requires  $\approx 24$  terabytes

Very inefficient!

What can be done to reduce this?

Can we use physics to reduce dimension of representation?

ie. Given  $\phi_L \neq \phi_R$ , can we compute?



Can we limit the problem to simplify? 1/20/18  
⑫

Can we improve the controller?

Computer used was RS/6000  
with ~64 MB RAM.

Very slow risc processor.

Solved problems in 3-5 minutes!

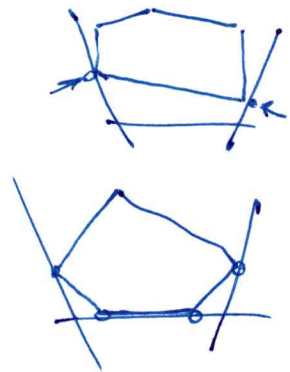
Assume: no throwing.

no dynamics (just quasistatic)

no friction

Always at least two contacts,

but we can control it to  
maintain 4 contacts.



Maintaining 4 contacts removes  
4 dof from state space.

Controller need to be hybrid.

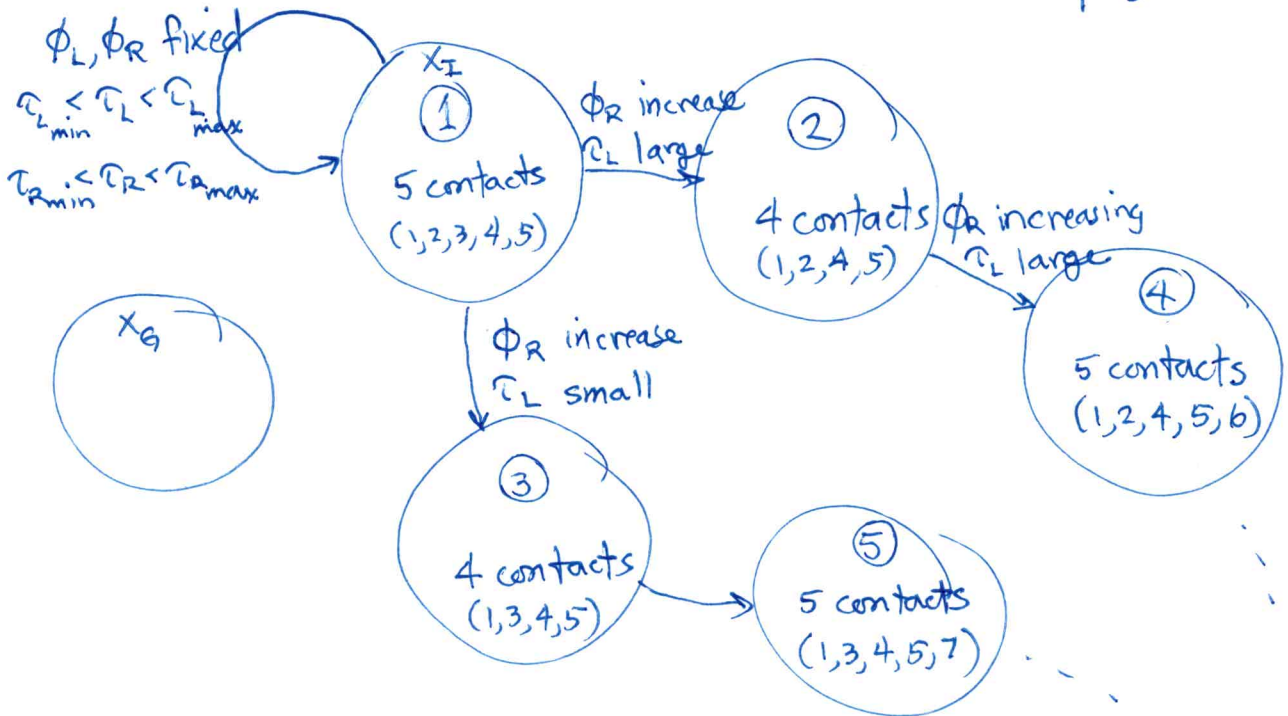
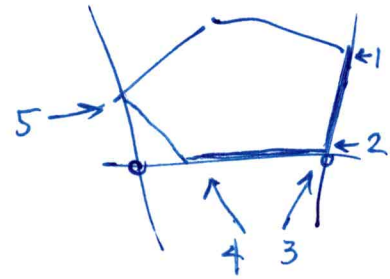
$\phi_L, \phi_R$  position controlled?  $\leftarrow$  not good  
or  $\phi_L, \tau_R$  }  $\leftarrow$  better  
or  $\tau_L, \phi_R$  }

$\mathbb{X}$  is still 5D, but we only have to try to explore a 1D subspace

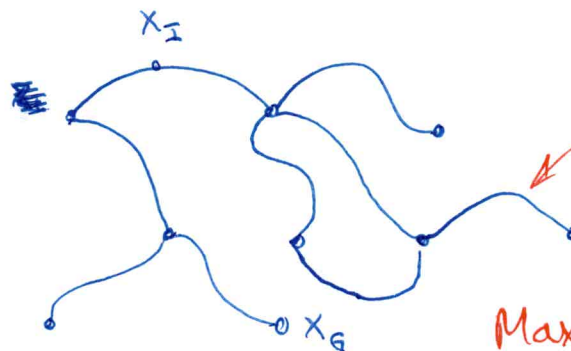
1/20/18

(13)

Graph -  $\mathcal{G}$  - looks like



View in  $\mathbb{X}$  (projected to  $\mathbb{R}^2$ )



save RAM space by no discretization

Maximum branching factor is  $\binom{5}{4} = 5$